

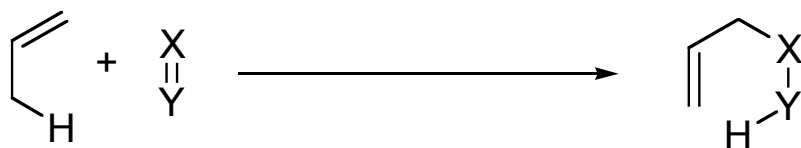
# Lewis Acid Catalyzed Carbonyl-Ene Reaction



Michael Ober

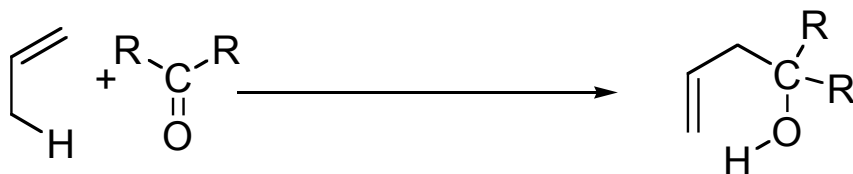
12/16/03

# The Hetero-Ene Reaction



X=Y : C=C, C=O, C=N, C=S, O=O, N=N

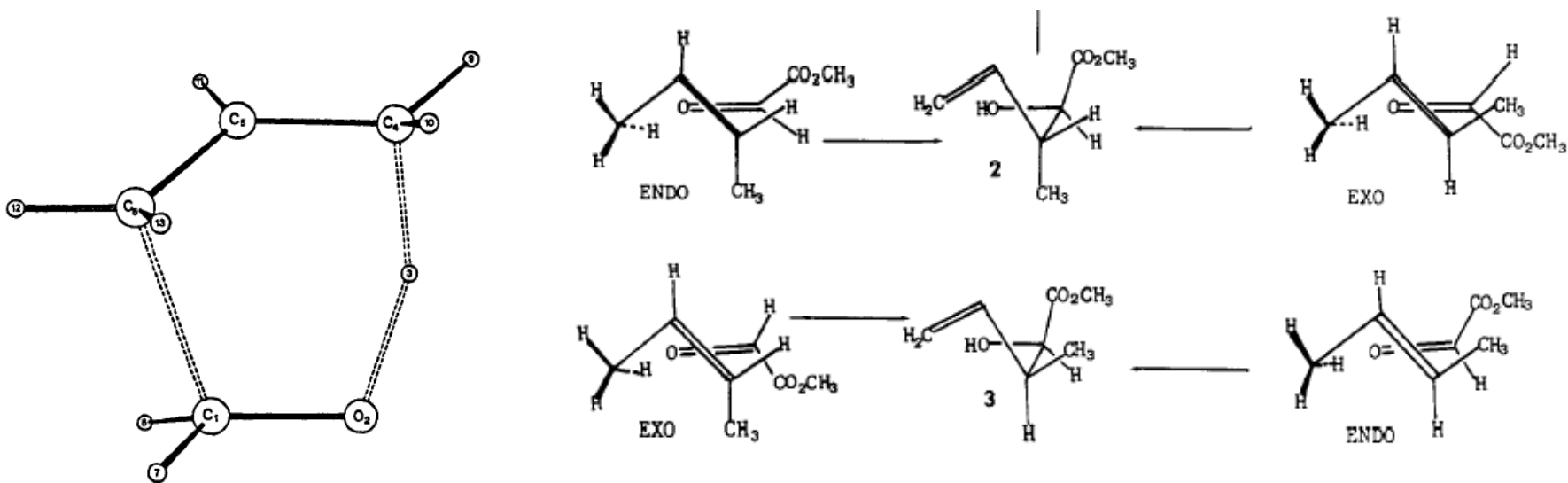
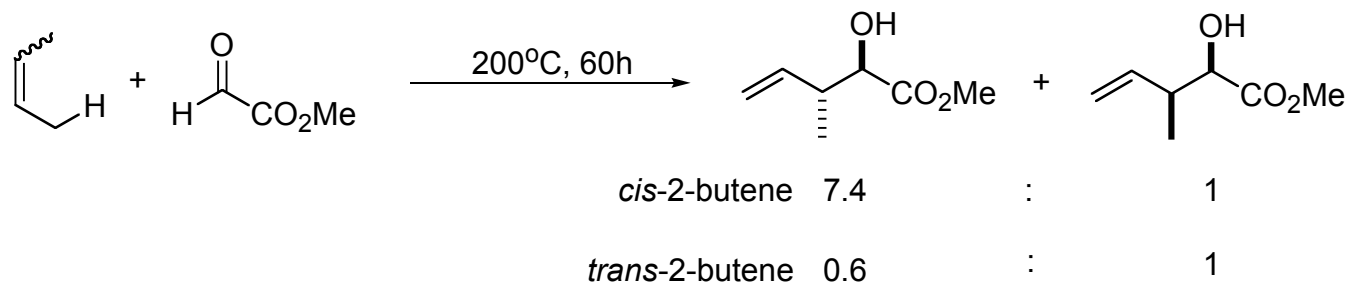
(1) All-carbon ene-reactions: takes place between an olefin bearing an allylic hydrogen atom (the carba-ene) and an activated alkene or alkyne (the carba-enophile)



(2) The hetero-ene-reaction: describes a reaction between an ene or enophile, either of which contains at least one heteroatom

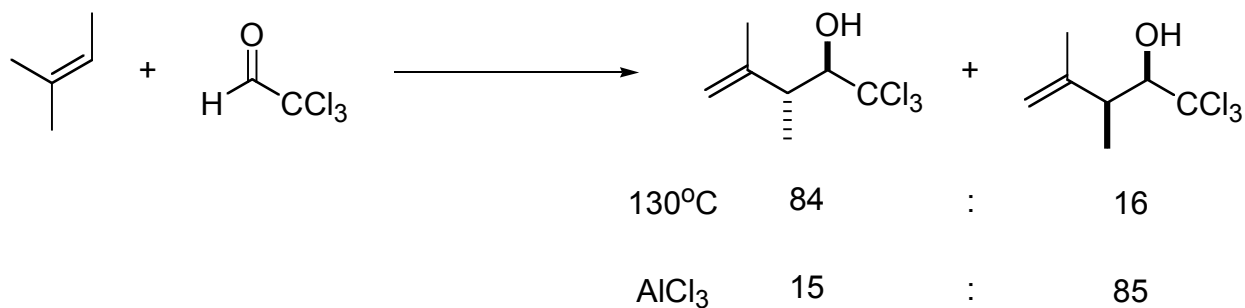
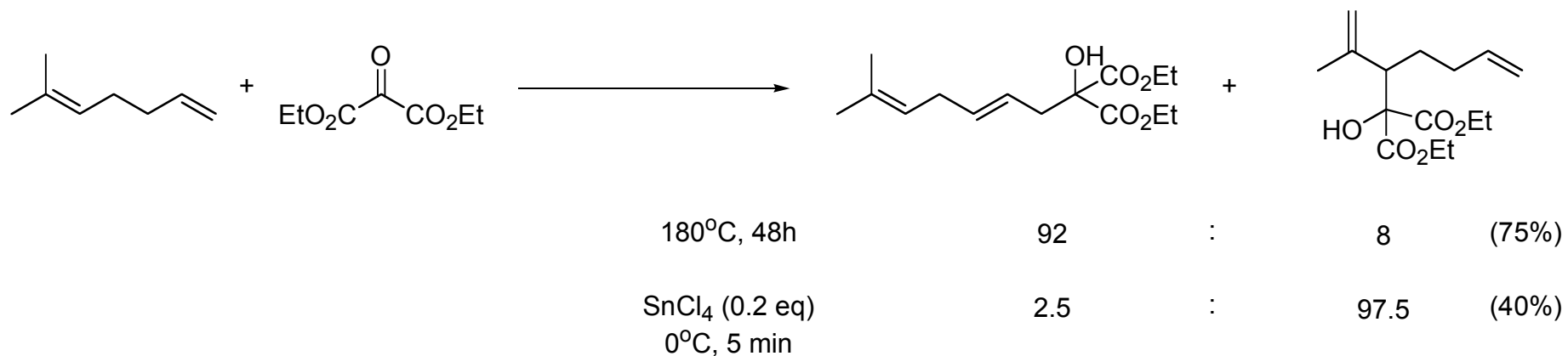
- (a) Type I: reaction between all-carba-ene components with hetero-enophiles
- (b) Type II: reaction between hetero-ene components and all carba-enophiles
- (c) Type III: reaction between hetero-ene components and hetero-eneophiles

# Thermal Carbonyl-Ene Reaction

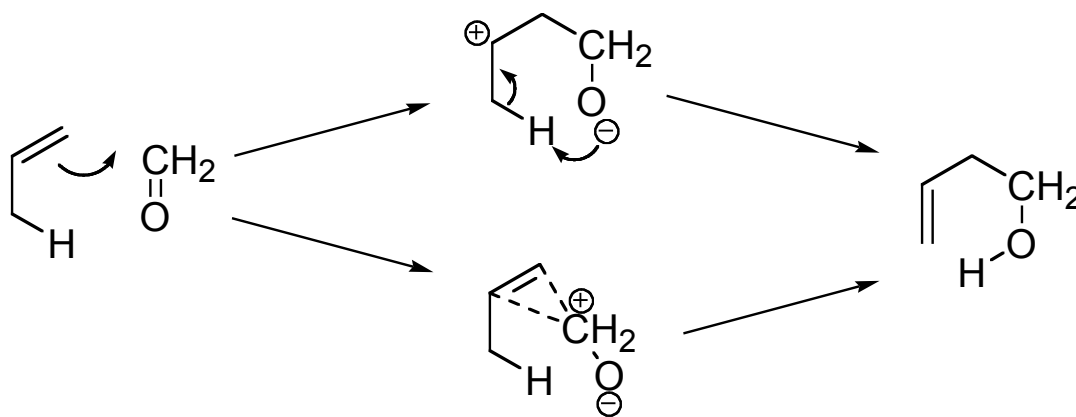
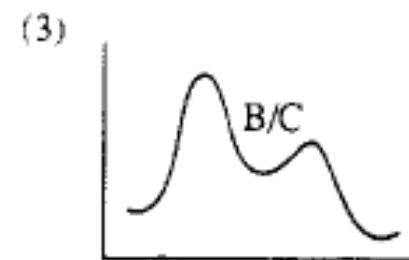
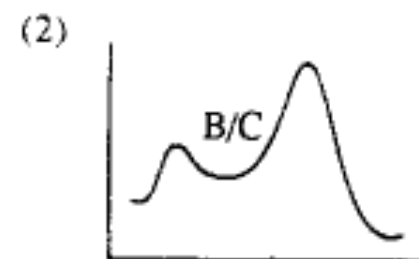
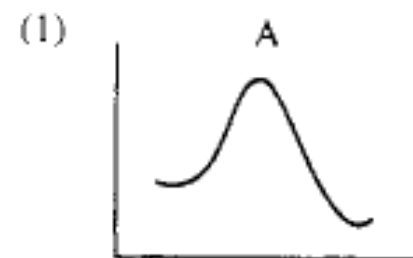
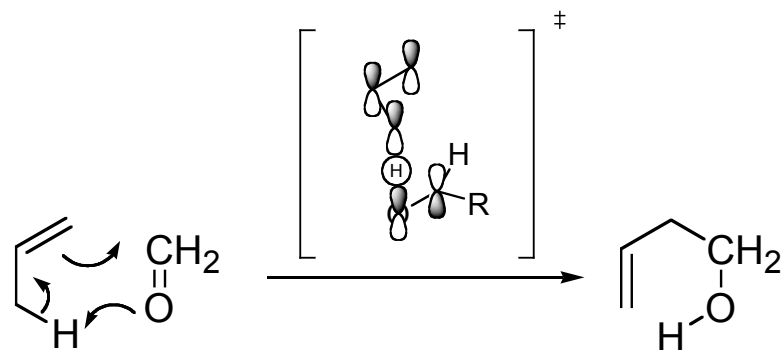


**Figure 3.** The STO-3G and 3-21G propene-formaldehyde ene reaction transition structures.

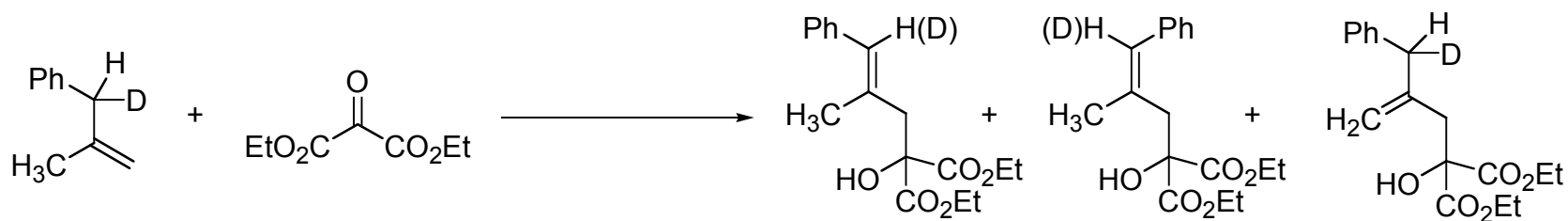
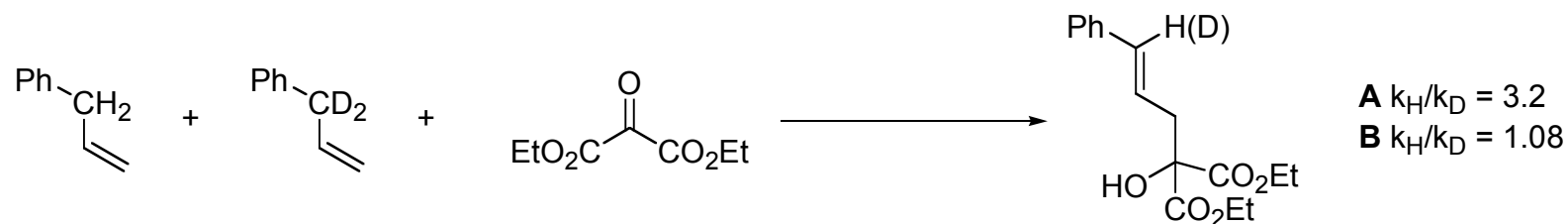
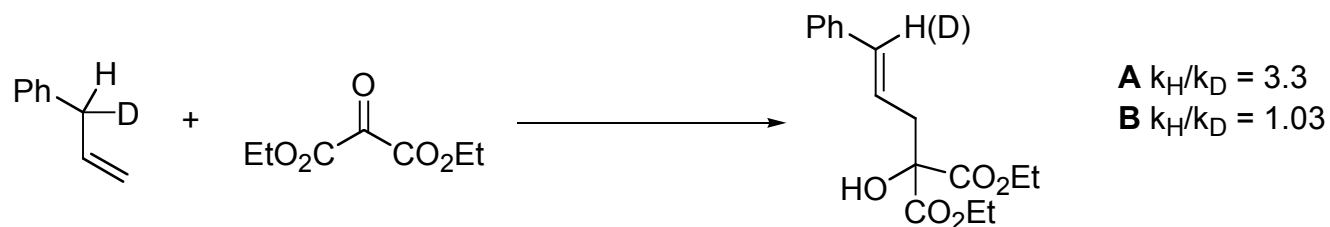
# Thermal vs. LA Promoted Reactions



# Mechanism of the Reaction



# Mechanistic Insights : KIE



**CONDITIONS**  
**A** : 130°C, 3days  
**B** : SnCl<sub>4</sub>, 3min, rt

**A** 55% ( $k_H/k_D = 3.14$ ), 16% ( $k_H/k_D = 3.67$ ), 29%  
**B** 54% ( $k_H/k_D = 1.08$ ), trace, 46%

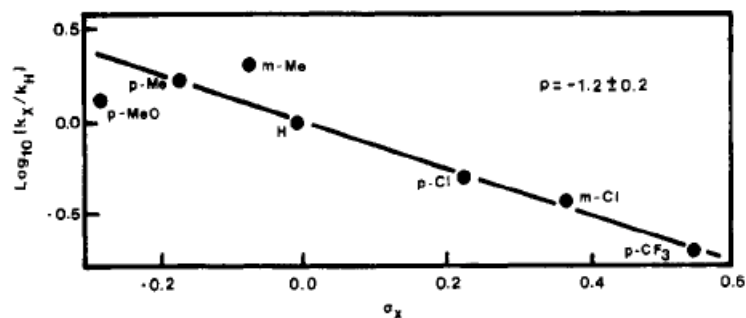
# Thermal vs. LA Promoted Reactions



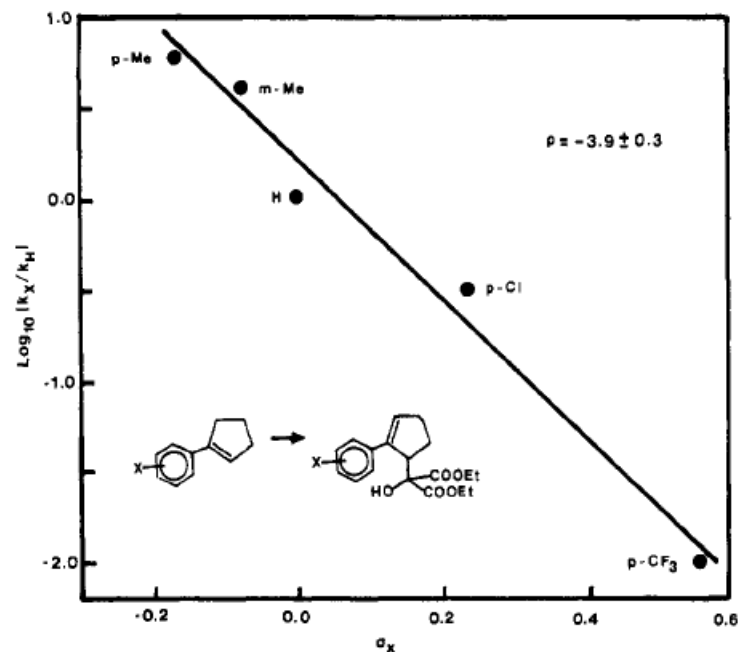
Conditions

A : 185 °C

B : SnCl<sub>4</sub> (0.1 equiv), 0°C



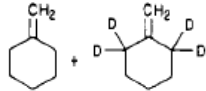
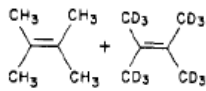
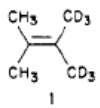
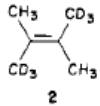
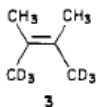
**Figure 1.** Substituent effects on thermal ene reactions of diethyl oxomalonate with 1-arylcyclopentenes.

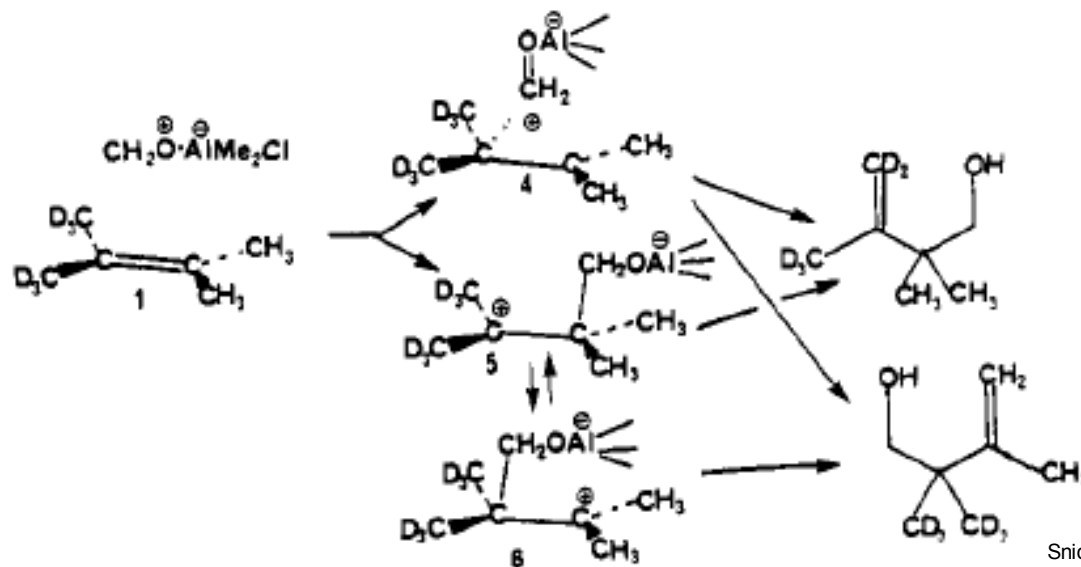


**Figure 2.** Substituent effects on SnCl<sub>4</sub>-catalyzed ene reactions of diethyl oxomalonate with 1-arylcyclopentenes.

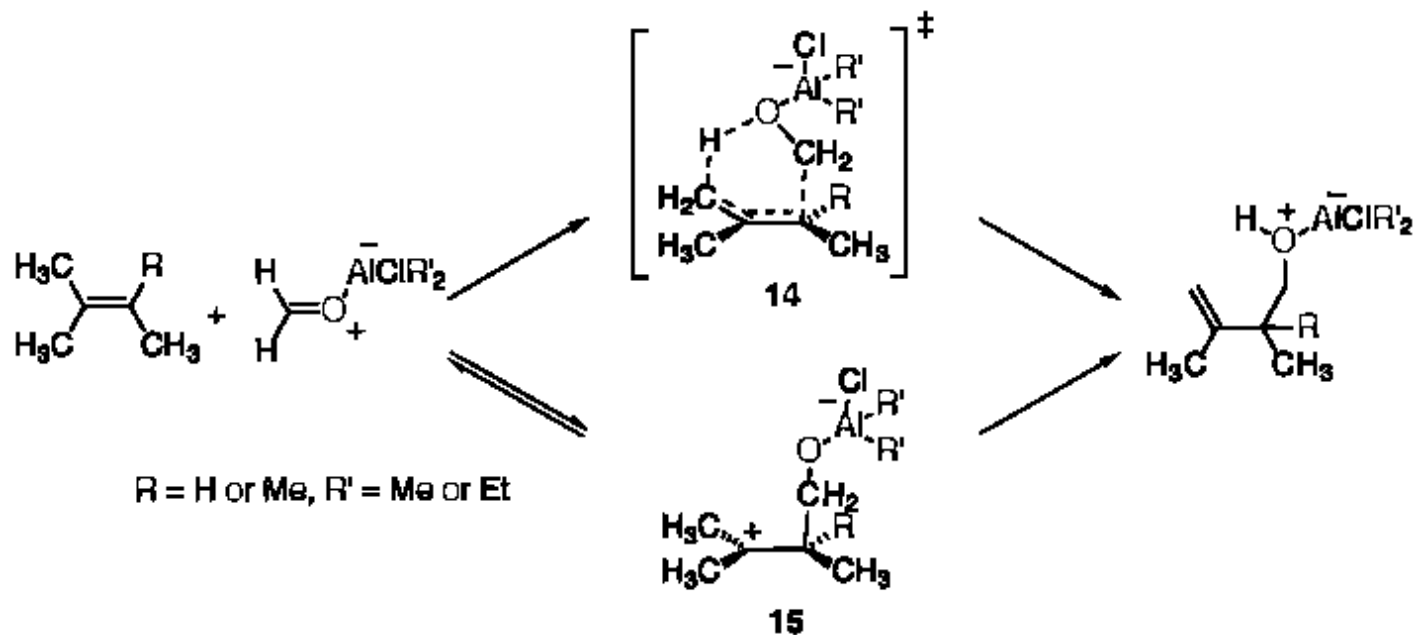
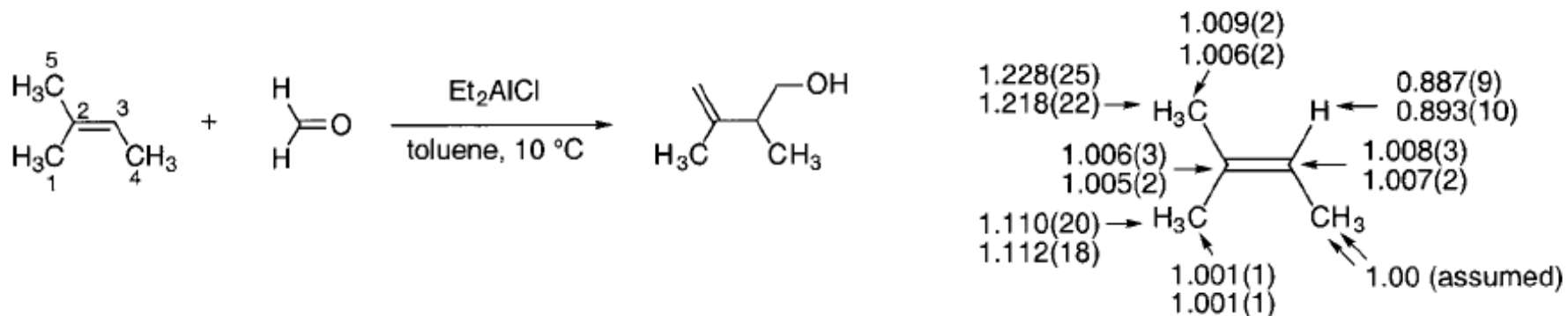
# Mechanistic Insights : KIE Redux

**Table I.** Kinetic Isotope Effects in Lewis Acid Catalyzed Ene Reactions

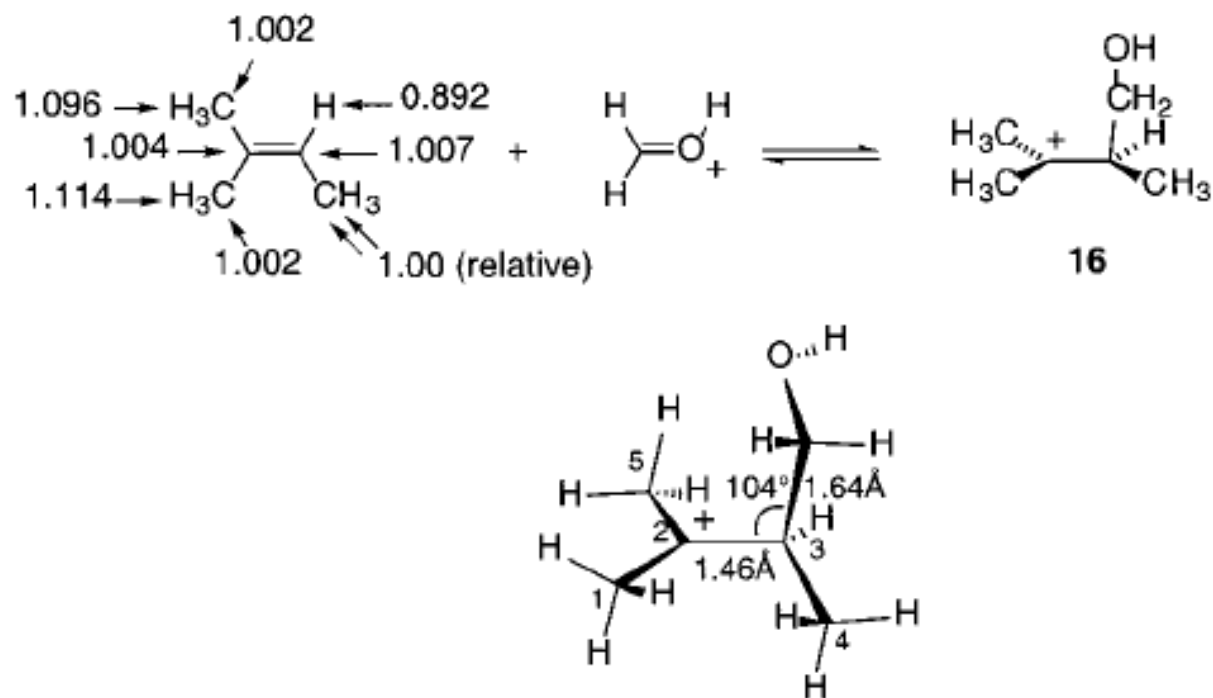
ene	enophile	Lewis acid	yield, %	$k_H/k_D$
<b>intermolecular</b>				
	CH <sub>2</sub> O	Me <sub>2</sub> AlCl	64	1.3 ± 0.15
	HC≡CCO <sub>2</sub> Me	EtAlCl <sub>2</sub>	60	1.2 ± 0.15
	H <sub>2</sub> C=CClCO <sub>2</sub> Me	EtAlCl <sub>2</sub>	97	1.1 ± 0.15
	CH <sub>2</sub> O	Me <sub>2</sub> AlCl		1.4 ± 0.15
	HC≡CCO <sub>2</sub> Me	EtAlCl <sub>2</sub>	59	1.1 ± 0.15
<b>intramolecular</b>				
 1	CH <sub>2</sub> O	Me <sub>2</sub> AlCl	57	3.3 ± 0.15
	HC≡CCO <sub>2</sub> Me	EtAlCl <sub>2</sub>	78	2.5 ± 0.15
	(EtO <sub>2</sub> C) <sub>2</sub> C=O	SnCl <sub>4</sub>	90	2.1 ± 0.15
	CH <sub>3</sub> CO SbCl <sub>6</sub> + NEt(i-Pr) <sub>2</sub>			
 2	CH <sub>2</sub> O	Me <sub>2</sub> AlCl	45	2.9 ± 0.15
	HC≡CCO <sub>2</sub> Me	EtAlCl <sub>2</sub>	56	1.8 ± 0.15
 3	CH <sub>2</sub> O	Me <sub>2</sub> AlCl	50	2.7 ± 0.15
	HC≡CCO <sub>2</sub> Me	EtAlCl <sub>2</sub>	56	1.6 ± 0.15
	(EtO <sub>2</sub> C) <sub>2</sub> C=O	SnCl <sub>4</sub>	30	1.5 ± 0.15



# Mechanistic Insights : KIE Reloaded

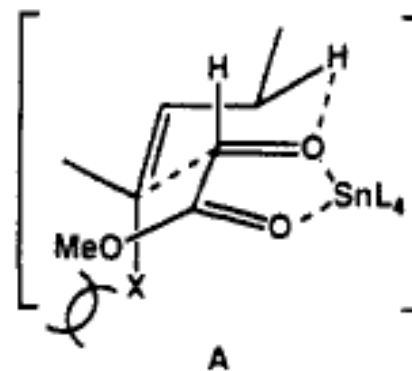
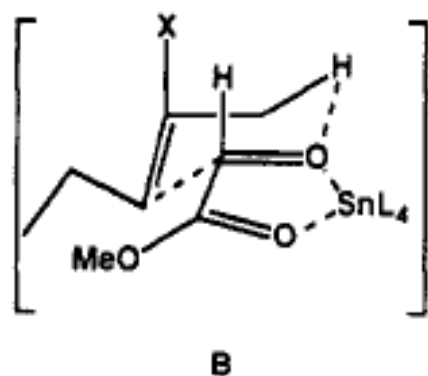
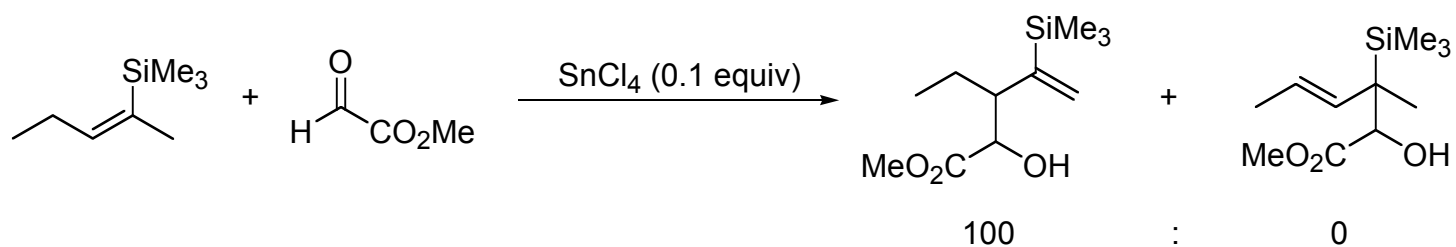
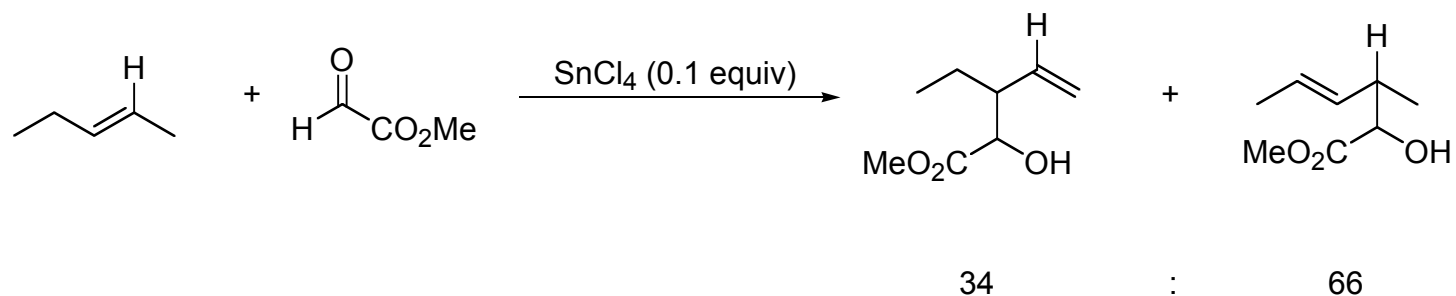


# Mechanistic Insights : KIE Revolutions

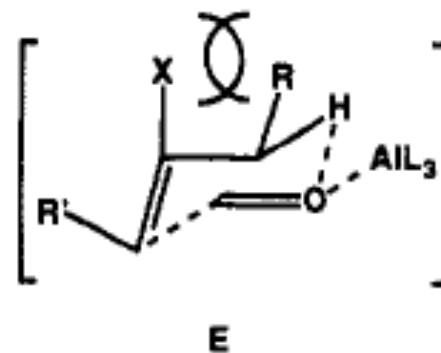
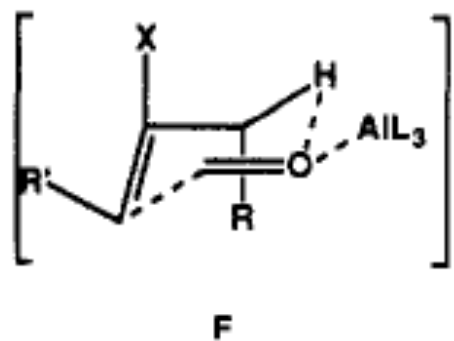
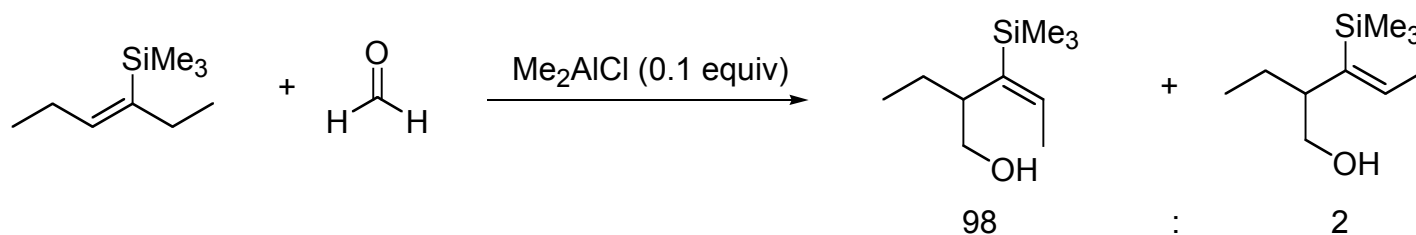
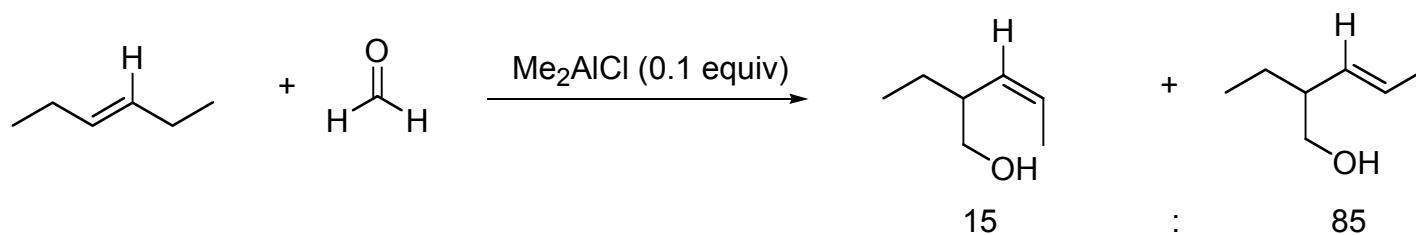


**Figure 2.** Predicted equilibrium isotope effects<sup>23,17</sup> ( $K_{\text{H}}/K_{\text{D}}$  or  $K^{12\text{C}}/K^{13\text{C}}$ , 25 °C) for the model reaction forming **16**, and calculated structure of **16**.

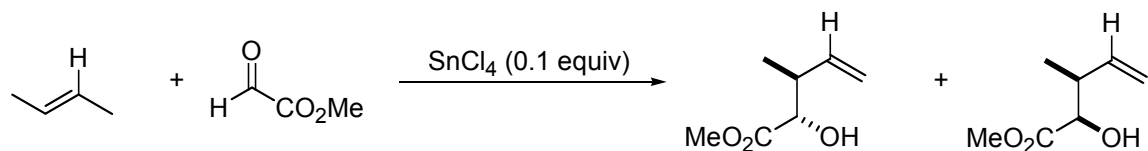
# Regioselectivity of the Reaction



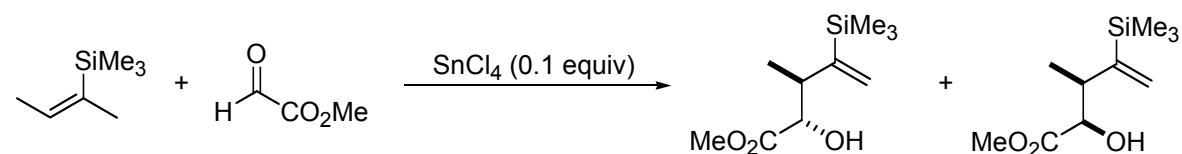
# Stereoselectivity of the Reaction



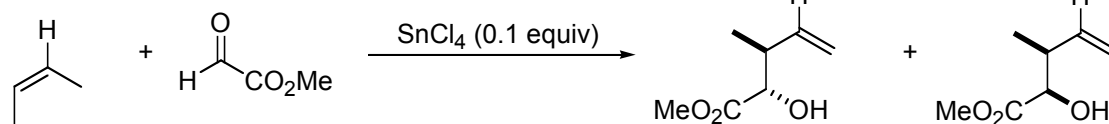
# Diastereoselectivity of the Reaction



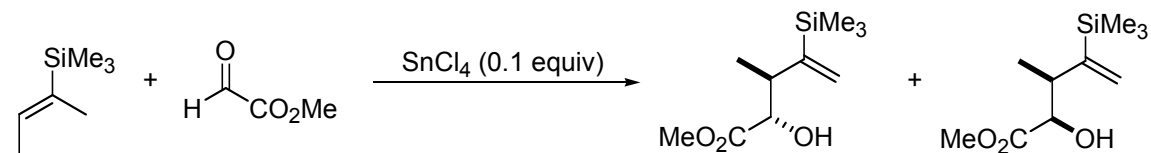
82 : 18



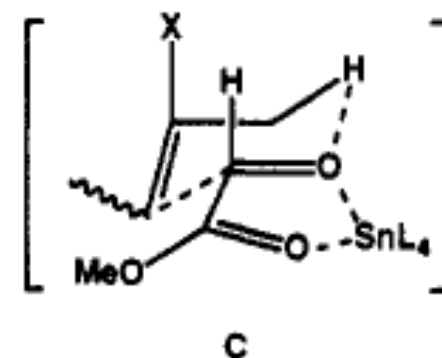
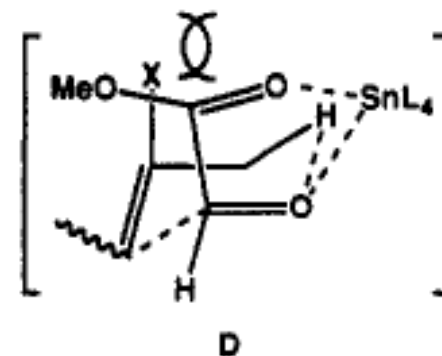
98 : 2



72 : 26



7 : 93



# Diastereoselectivity of the Reaction

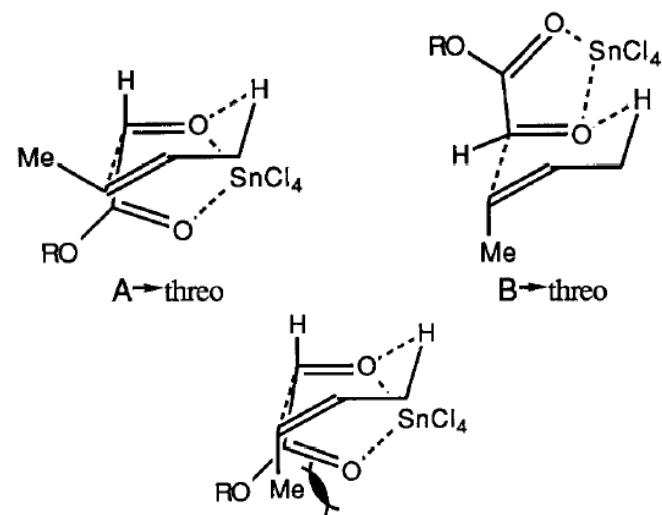
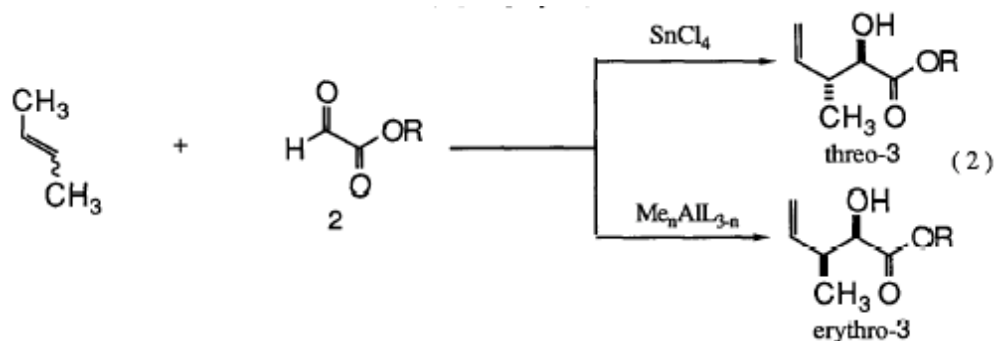
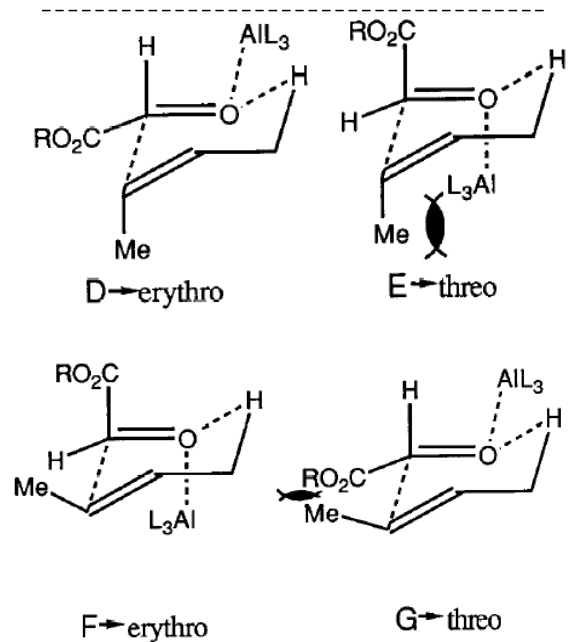


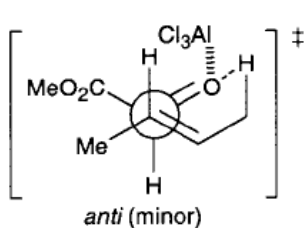
Table 1. Lewis Acid-Promoted Ene Reaction with Glyoxylates<sup>a</sup>

Run	Geometry of 2-butene	R in glyoxylate (2)	Lewis acid	%yield <sup>b</sup>	erythro : threo <sup>c</sup>
1	<u>E</u>	Me	$\text{SnCl}_4$	100	18 : 82
2	<u>Z</u>			100	28 : 72
3	<u>E</u>	<i>i</i> -Pr		100	8 : 92
4	<u>Z</u>			100	29 : 71
5	<u>E</u>	Me	$\text{Me}_2\text{AlCl}$	5	82 : 18
6			$\text{Me}_2\text{AlOTf}$	29	79 : 21
7			$\text{MeAl}(\text{OTf})_2$	41	65 : 35
8	<u>Z</u>		$\text{Me}_2\text{AlCl}$	5	99 : 1
9			$\text{Me}_2\text{AlOTf}$	65	91 : 9
10		<i>i</i> -Pr	$\text{Me}_2\text{AlOTf}$	trace	
11			$\text{MeAl}(\text{OTf})_2$	35	66 : 34
12			$\text{MeAl}(\text{OTf})\text{Cl}$	44	81 : 19



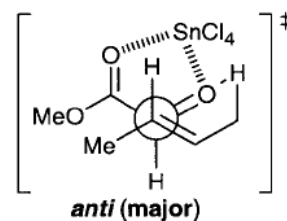
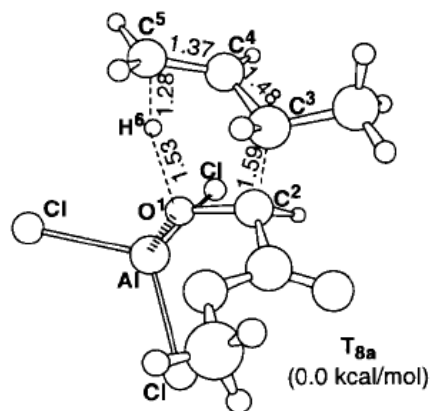
<sup>a</sup> All reaction were carried out in  $\text{CH}_2\text{Cl}_2$  at  $-78^\circ\text{C}$  by using a freshly distilled glyoxylate, 1 equiv. of Lewis acid and an excess (>3 equiv.) of 2-butene. <sup>b</sup> Isolated yield by column chromatography. <sup>c</sup> Determined by  $^1\text{Hnmr}$  and GLC analyses as described in ref 5.

# Diastereoselectivity of the Reaction



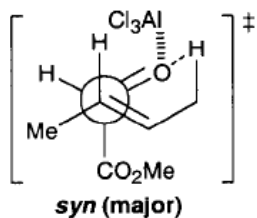
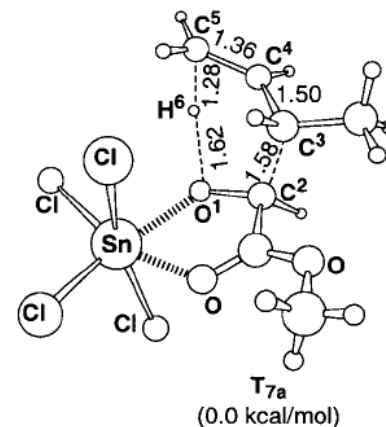
**Natural Charge**

O<sup>1</sup>: -1.02 C<sup>4</sup>: +0.14  
 C<sup>2</sup>: +0.09 C<sup>5</sup>: -0.61  
 C<sup>3</sup>: -0.33 H<sup>6</sup>: +0.45



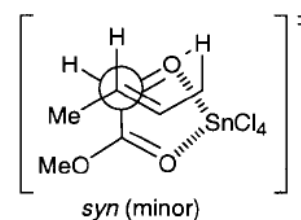
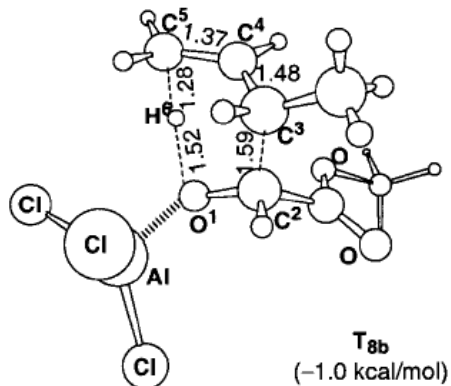
**Natural Charge**

O<sup>1</sup>: -1.01 C<sup>4</sup>: +0.06  
 C<sup>2</sup>: +0.08 C<sup>5</sup>: -0.52  
 C<sup>3</sup>: -0.32 H<sup>6</sup>: +0.45



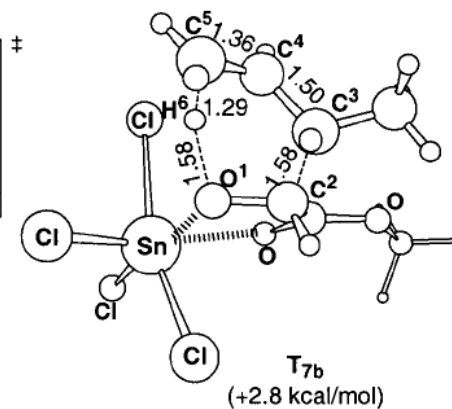
**Natural Charge**

O<sup>1</sup>: -1.01 C<sup>4</sup>: +0.15  
 C<sup>2</sup>: +0.09 C<sup>5</sup>: -0.64  
 C<sup>3</sup>: -0.34 H<sup>6</sup>: +0.45

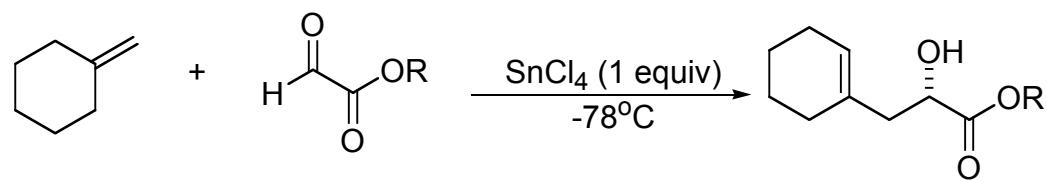


**Natural Charge**

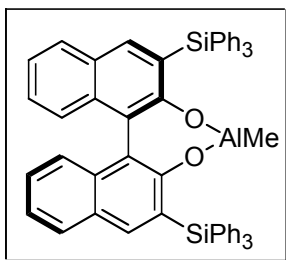
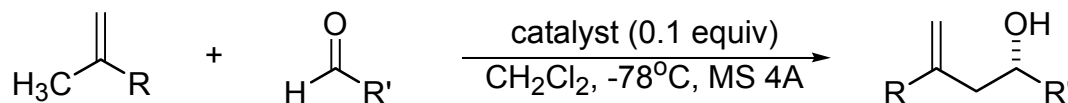
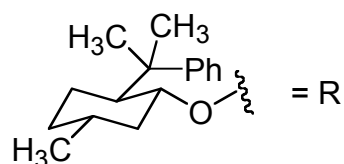
O<sup>1</sup>: -1.00 C<sup>4</sup>: +0.06  
 C<sup>2</sup>: +0.07 C<sup>5</sup>: -0.53  
 C<sup>3</sup>: -0.31 H<sup>6</sup>: +0.46



# Asymmetric Catalysis: The Beginning

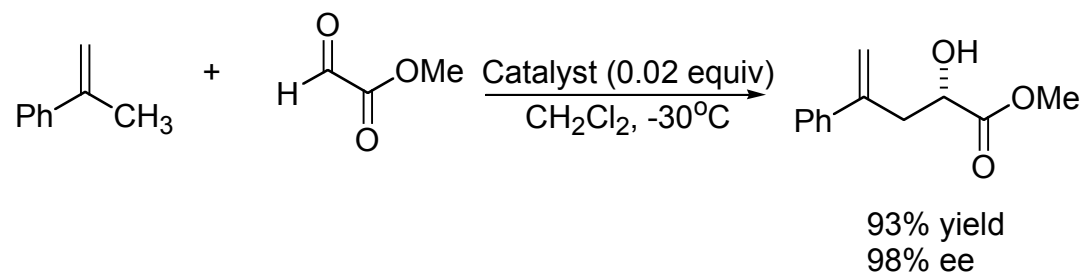
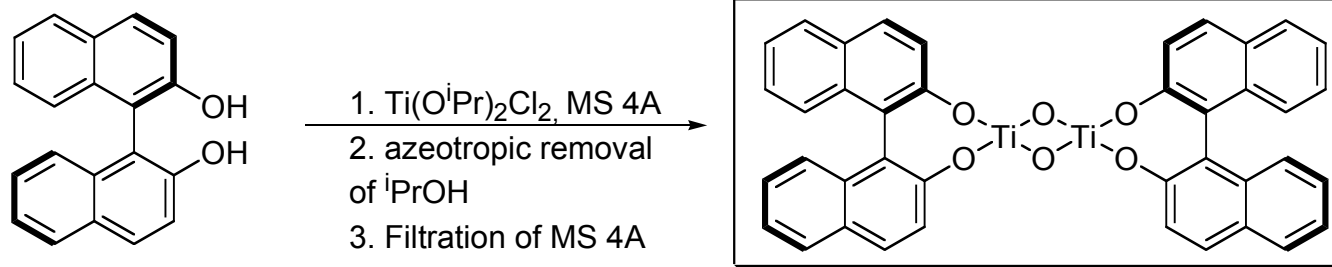
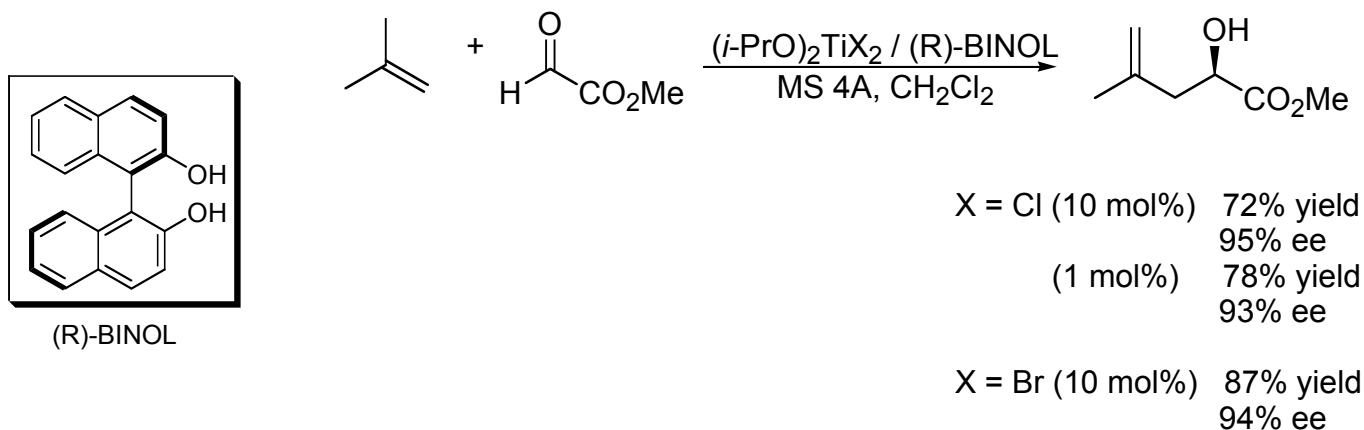


94% yield  
> 99 : 1 de



aldehyde	olefin	% yield	% ee
$\text{C}_6\text{F}_6\text{CHO}$	$\text{CH}_2=\text{C}(\text{CH}_3)_2$	56	84
	$\text{CH}_2=\text{C}(\text{CH}_2)_5$	43	86
	$\text{CH}_2=\text{C}(\text{CH}_2)_6$	48	80
	$\text{CH}_2=\text{C}(\text{CH}_3)\text{t-Bu}$	43	92
	$\text{CH}_2=\text{C}(\text{CH}_3)\text{Ph}$	85	71
$\text{Cl}_3\text{CCHO}$	$\text{CH}_2=\text{C}(\text{CH}_3)_2$	60	30
	$\text{CH}_2=\text{C}(\text{CH}_2)_6$	99	64
	$\text{CH}_2=\text{C}(\text{CH}_3)\text{Ph}$	87	51

# Asymmetric Catalysis: Titanium



Mikami, K. et al *J. Am. Chem. Soc.* **1990**, 112, 3949.  
Mikami, K. et al *Chem. Comm.* **1994**, 833.

# Asymmetric Catalysis: Titanium NLE

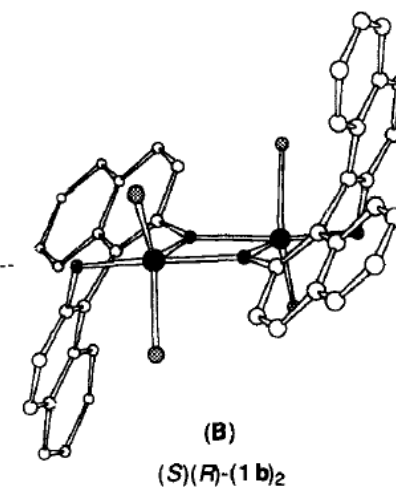
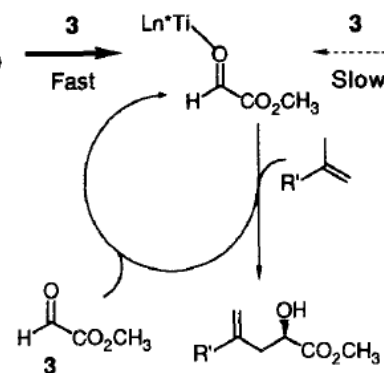
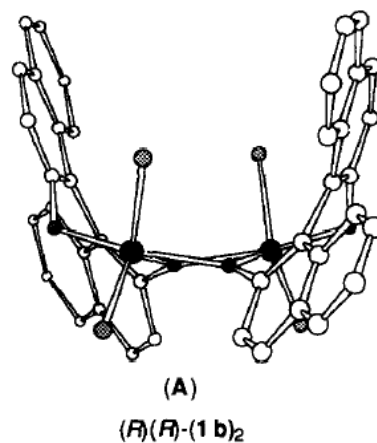
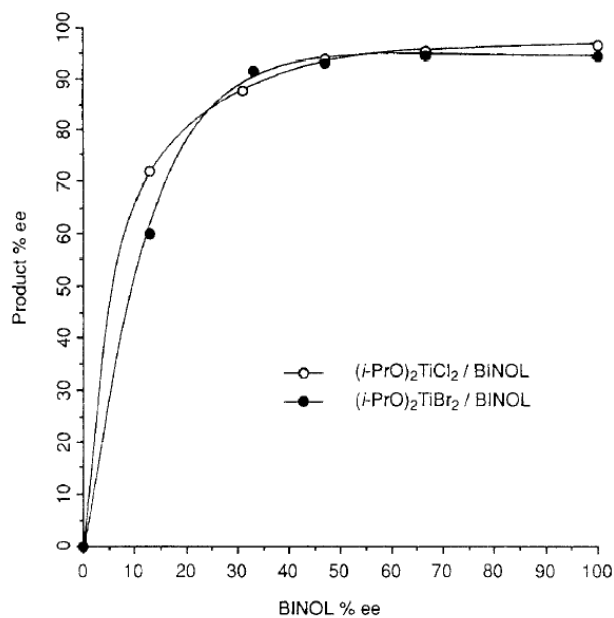
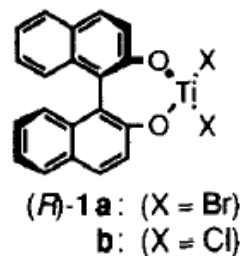
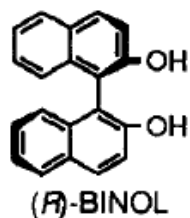
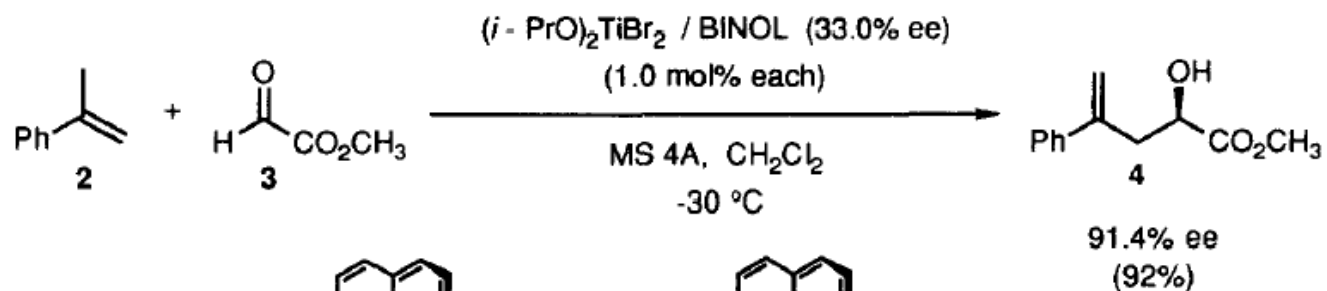
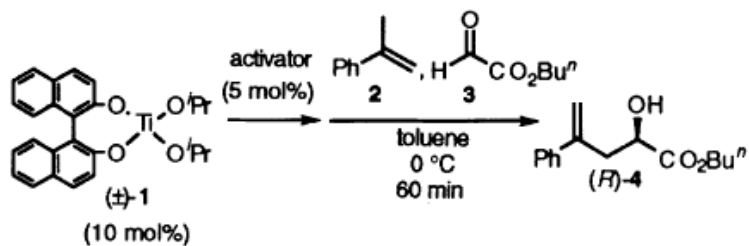


Fig. 2. (+)-NLE in asymmetric glyoxylate-ene reaction catalyzed by 1 (1.0 mol%).

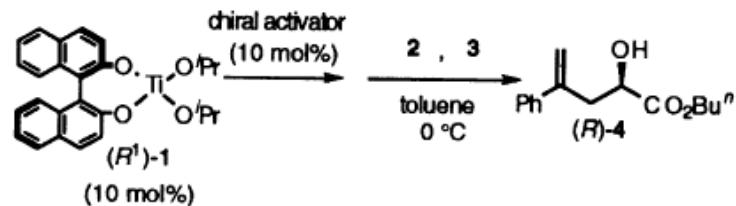
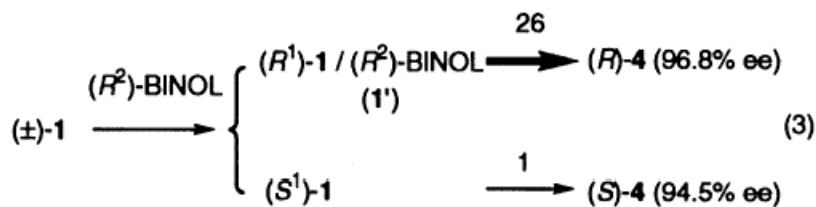
# Asymmetric Catalysis: Titanium



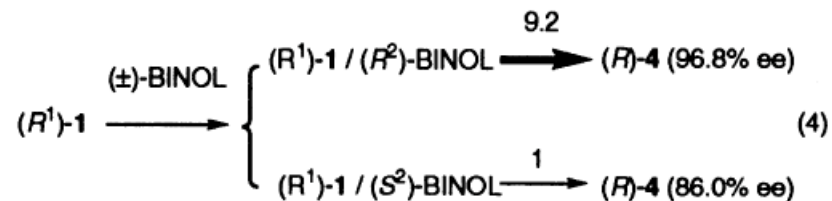
Run	Activator	Yield (%)	e.e. (%)
1	None	5.9	0
2		20	0
3		38	80.8
4		52	89.8
5*		35	80.0

Conditions as in the text, unless otherwise noted.

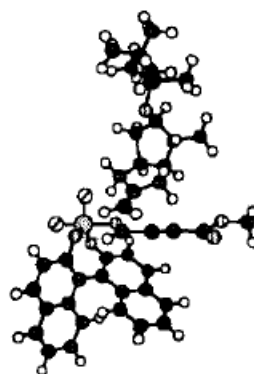
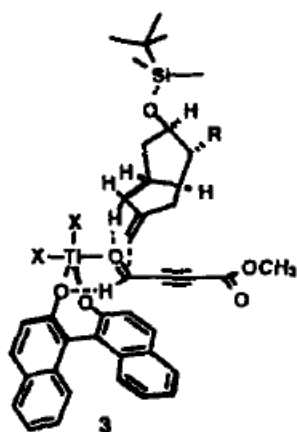
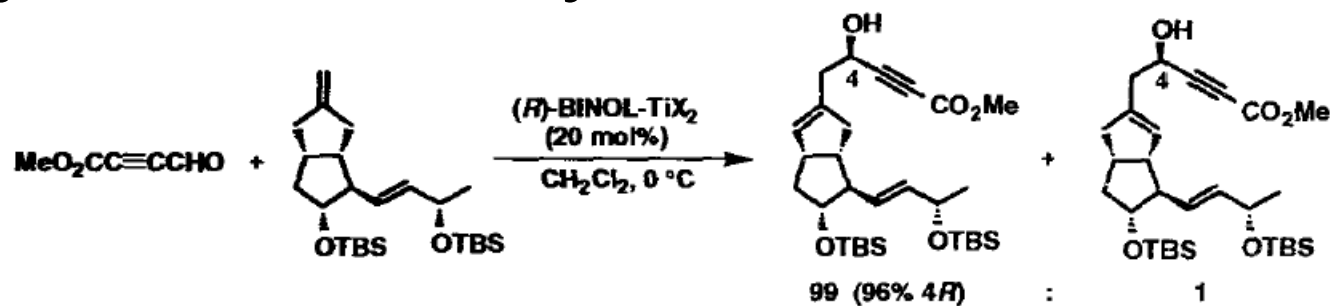
\* The reaction was carried out with 2.5 mol% of ( $R^2$ )-BINOL.



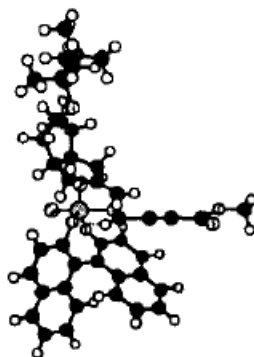
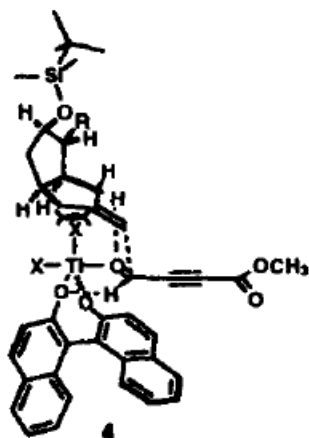
Run	Chiral activator	Time (min)	Yield (%)	e.e. (%)
1	None	60	19.8	94.5
2		1	1.8	94.5
3	( $R^2$ )-5-Cl-BIPOL	60	66.0	97.2
4	( $R^2$ )-BINOL	60	82.1	96.8
5		1	41.1	96.8
6		0.5	24.0	96.9
7	( $S^2$ )-BINOL	60	48.0	86.0
8		0.5	2.6	86.0
9	( $\pm^2$ )-BINOL	60	69.2	95.7



# Asymmetric Catalysis: Titanium TS Model



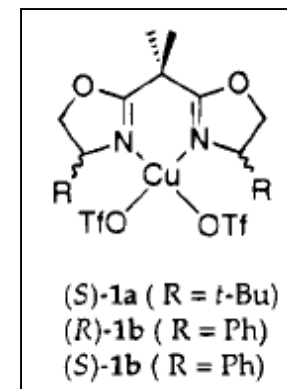
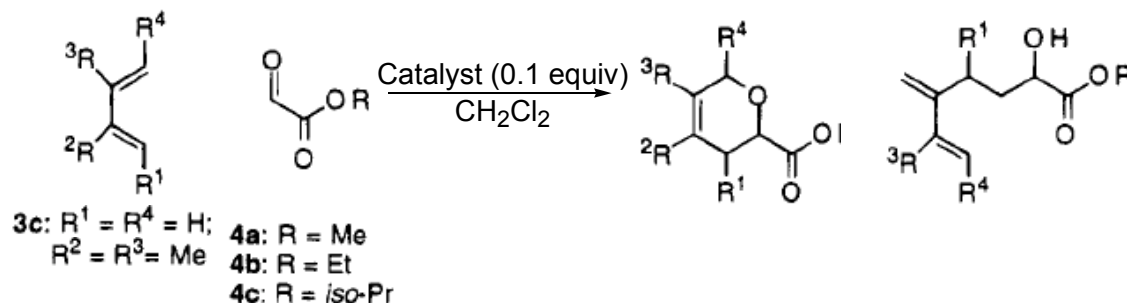
Stereopair representation of 3



Stereopair representation of 4

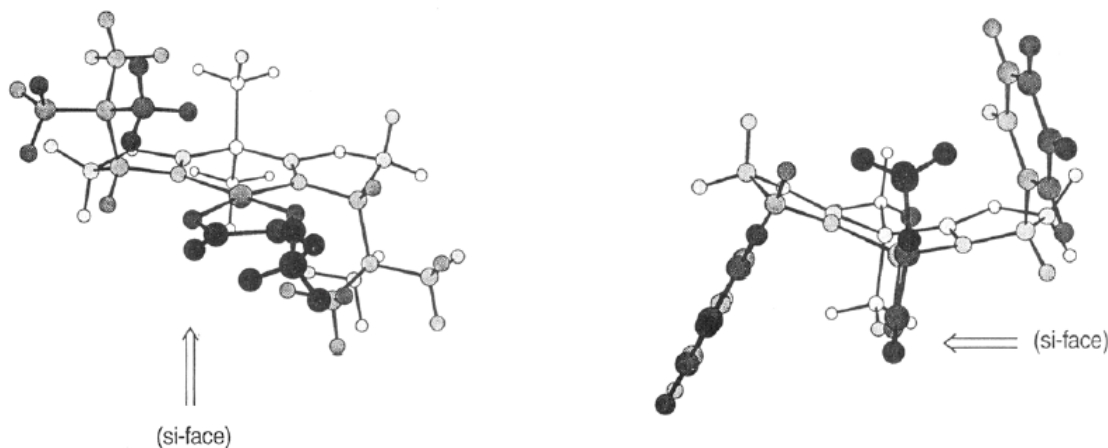
● C ○ H ⊕ O ⊙ Ti ⊘ X ● Si

# Asymmetric Catalysis: Copper HDE vs ENE



**Table 2. Hetero Diels–Alder Reactions and Ene Reactions of 2,3-Dimethyl-1,3-butadiene (3c) and Different Alkyl Glyoxylates 4a–c Catalyzed by (S)-1a and (R)-1b**

entry	catalyst	glyoxylate	Diels–Alder product: yield/% <sup>a</sup> (ee/%) <sup>b</sup> (confg)	ene product: yield/% <sup>a</sup> (ee) <sup>b,c</sup>	Diels–Alder product:ene product ratio
1	(S)-1a	4a	5a, 25 (90) (S)	6d, 39 (85)	1:1.6
2	–	4b	5d, 20 (85) (S)	6c, 36 (83)	1:1.8
3	–	4c	5e, 12 (77) (S)	6d, 12 (83)	1:1
4	(R)-1b	4a	5c, 36 (81) (S)	6b, 50 (85)	1:1.4
5	–	4b	5d, 31 (83) (S)	6c, 50 (88)	1:1.6
6	–	4c	5c, 31 (87) (S)	6d, 40 (90)	1:1.3



# Asymmetric Catalysis: Copper

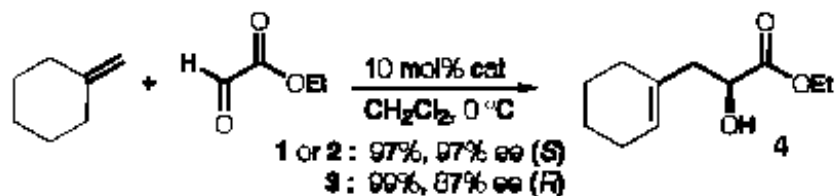
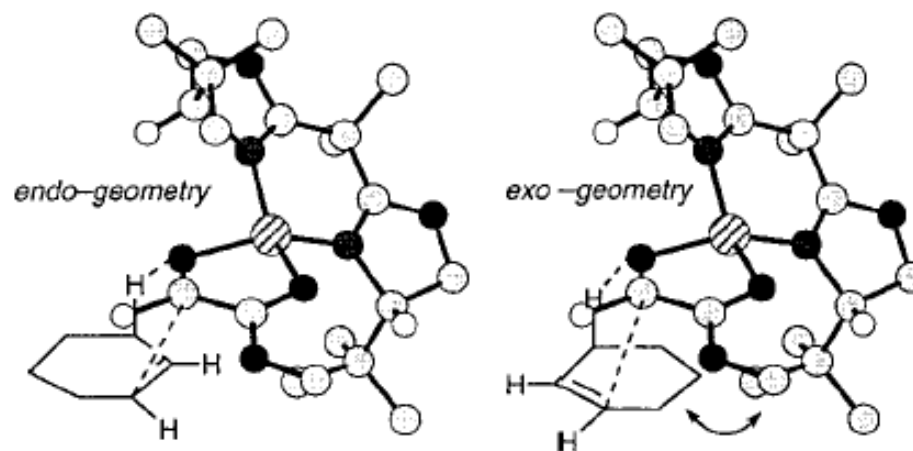
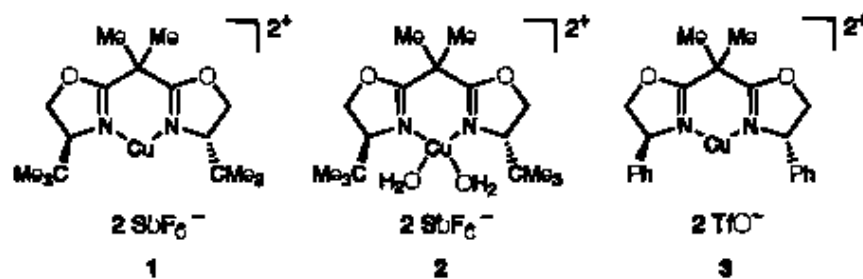
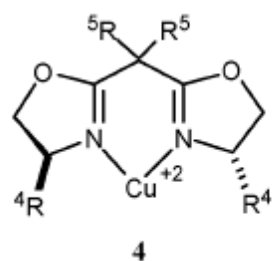
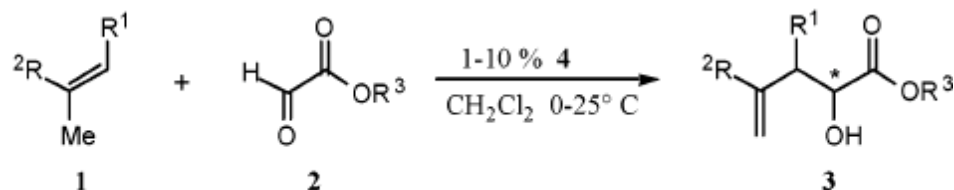


Table 1. Catalyzed Enantioselective Ene Reactions between Ethyl Glyoxylate and Representative Olefins

olefin	product <sup>a</sup>	cat (mol%)	T, °C	yield, % <sup>b</sup>	% ee <sup>c</sup>
		<b>2</b> (1)	0	90	97 ( <i>S</i> )
		<b>3</b> (10)			99
		<b>2</b> (1)	0	83	96 ( <i>S</i> )
		<b>3</b> (10)			92
		<b>2</b> (1)	0	97	93 ( <i>S</i> )
		<b>3</b> (10)			99
		<b>2</b> (1)	0	95	96 ( <i>S</i> )
		<b>3</b> (10)			97
		<b>2</b> (1)	25	89 <sup>d</sup>	96 ( <i>S</i> )
		<b>3</b> (10)			81 <sup>e</sup>
		<b>1</b> (10)	0	95 <sup>f</sup>	98 ( <i>S</i> )
		<b>3</b> (10)			70 <sup>h</sup>
		<b>1</b> (10)	25	96 <sup>f</sup>	93 ( <i>S</i> )

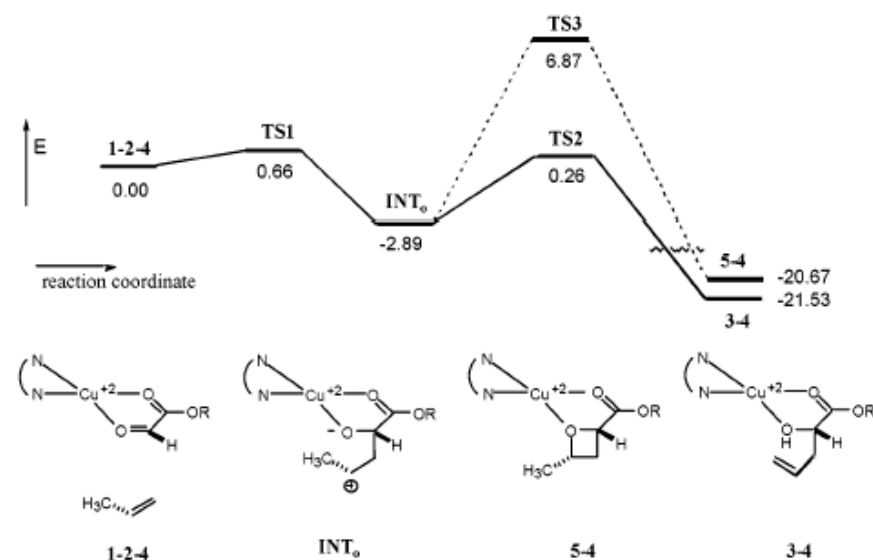
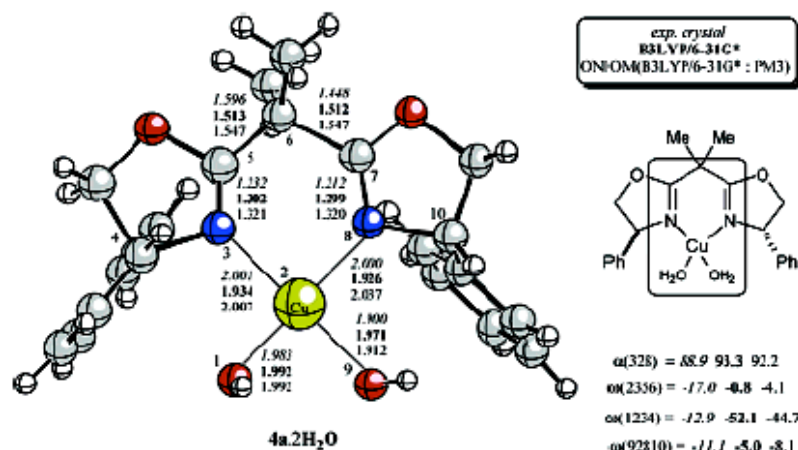


# Asymmetric Catalysis: Copper



4a:  $\text{R}^4 = \text{Ph}$      $\text{R}^5 = \text{Me}$

4b:  $\text{R}^4 = \text{C}(\text{CH}_3)_3$      $\text{R}^5 = \text{Me}$

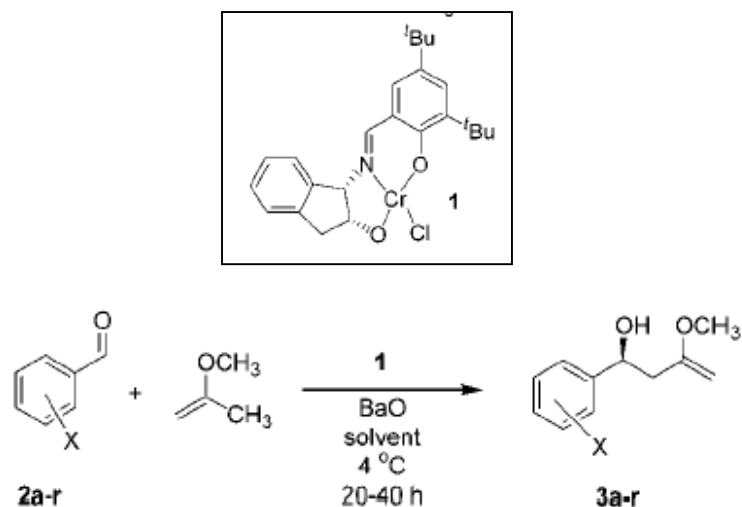


**Figure 2.** Relative free energies (in kcal/mol) on the pathway (1 + 2 → 3) catalyzed by 4 (solid lines) at the UB3LYP(PCM)/6-311G\*\*//UB3LYP/6-31G\* level. Only one enantiomer has been drawn (*exo* approach) for clarity. Alternative pathways are indicated by hashed lines.

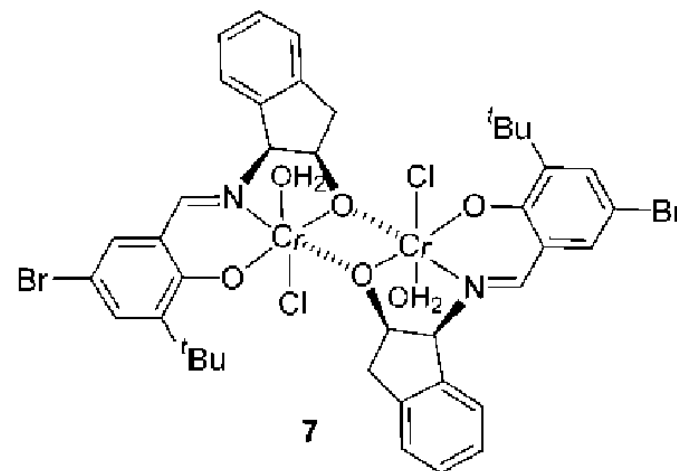
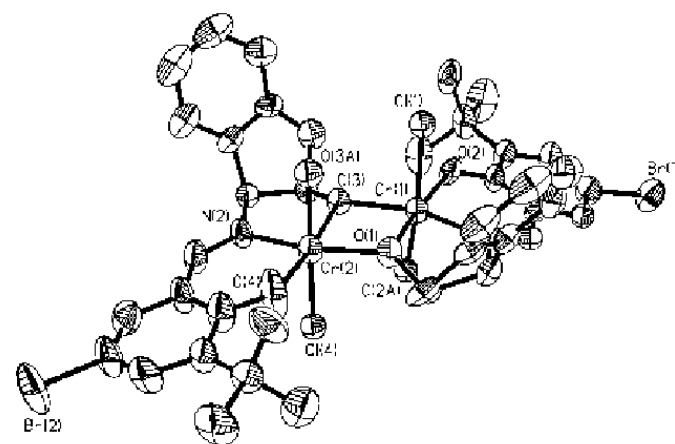
Endo attack is slightly disfavored for  $\text{R}^4 = \text{C}(\text{CH}_3)_3$

**Figure 1.** Main geometrical parameters for 4a·2H<sub>2</sub>O. Selected bond lengths (Å) and angles (deg). Atoms included in the high level region are within the box.

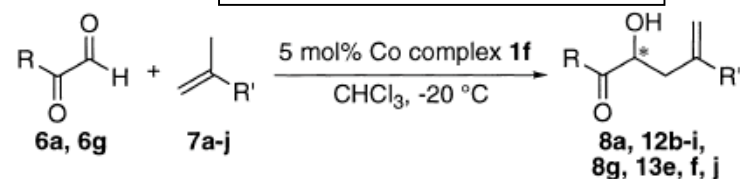
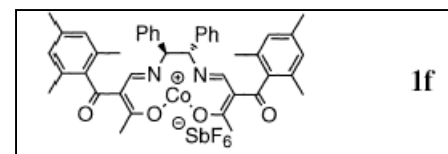
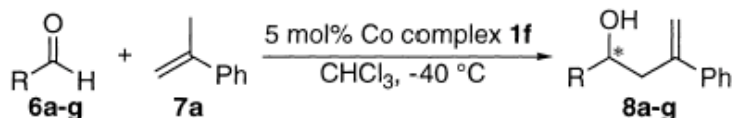
# Asymmetric Catalysis: Chromium



product	X	method <sup>a</sup>	ee (%)	yield (%) <sup>a</sup>	time (h)
<b>3a</b>	H	B	88 <sup>b</sup>	82	40
<b>3b</b>	2-Br	A	96 <sup>b</sup>	97	20
<b>3c</b>	3-Br	A	86 <sup>b</sup>	94	36
<b>3d</b>	4-Br	B	87 <sup>b</sup>	78	40
<b>3e</b>	2-CH <sub>3</sub>	B	94 <sup>b</sup>	41	40
<b>3f</b>	3-CH <sub>3</sub>	B	90 <sup>b</sup>	50	40
<b>3g</b>	4-CH <sub>3</sub>	B	89 <sup>b</sup>	26	40
<b>3h</b>	2-Cl	A	96 <sup>b</sup>	98	20
<b>3i</b>	3-Cl	A	84 <sup>b</sup>	97	36
<b>3j</b>	4-Cl	B	85 <sup>c</sup>	78	40
<b>3k</b>	2-OCH <sub>3</sub>	B	95 <sup>c</sup>	75	40
<b>3l</b>	3-CN	A	86 <sup>d</sup>	80	36
<b>3m</b>	4-CN	A	84 <sup>b</sup>	92	40
<b>3n</b>	2-NO <sub>2</sub>	A	96 <sup>b</sup>	89	20
<b>3o</b>	3-NO <sub>2</sub>	A	90 <sup>d</sup>	85	36
<b>3p</b>	4-NO <sub>2</sub>	A	70 <sup>d</sup>	88	36
<b>3q</b>	2,4-Cl	A	92 <sup>b</sup>	96	20
<b>3r</b>	2,6-Cl	A	86 <sup>b</sup>	82	20

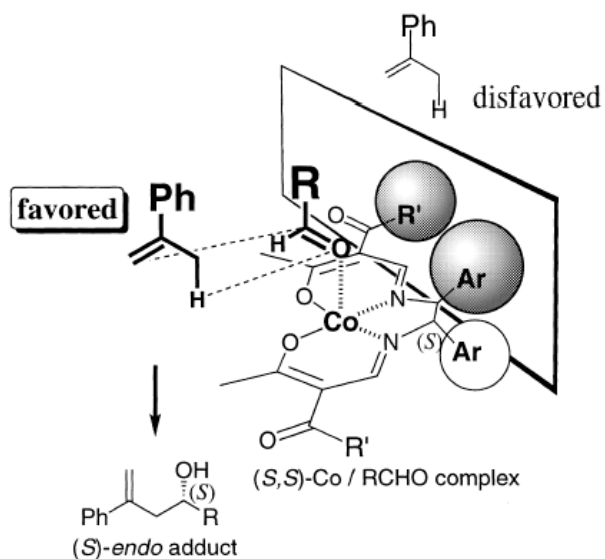


# Asymmetric Catalysis: Cobalt



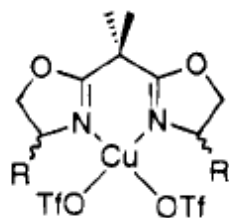
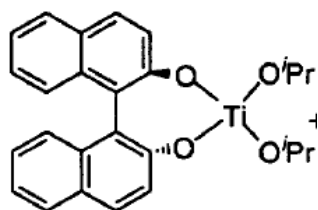
Entry <sup>a)</sup>	Glyoxal	Yield/% <sup>b)</sup>	Ee/%
1	X = H ( <b>6a</b> )	95	94
2	X = NO <sub>2</sub> ( <b>6b</b> )	80	92
3	X = F ( <b>6c</b> )	81	92
4	X = Cl ( <b>6d</b> )	89	92
5	X = Br ( <b>6e</b> )	80	91
6	X = Me ( <b>6f</b> )	45	91
7 <sup>c)</sup>	BnO-CO-CHO ( <b>6g</b> )	91	85

Entry <sup>a)</sup>	R	Alkene	Yield/% <sup>b)</sup>	Ee/%
1	Ph ( <b>6a</b> )	X = F ( <b>7b</b> )	92	88
2		X = H ( <b>7a</b> )	93	93
3		X = Me ( <b>7c</b> )	70	84
4		<b>7d</b>	87	92
5		<b>7e</b>	87	89
6		<b>7f</b>	81	91
7		<b>7g</b>	56	84
8		<b>7h</b>	75	94
9		<b>7i</b>	60	94



# Conclusions

- The LA catalyzed carbonyl-ene reaction is of great advantage over the thermal variant, allowing for a control point for modulation of the rate of reaction and product distribution.
- Key experiments have been successful at gaining insight into the mechanism of the LA catalyzed carbonyl-ene process, but relatively little work has been dedicated toward control of the regio- and stereo-selectivity of the reaction.
- The employment of chiral LAs has provided quite impressive results for asymmetric induction for the reaction for a number of simple substrates, but more work on complex systems is required.



(*S*)-**1a** ( R = *t*-Bu)  
(*R*)-**1b** ( R = Ph)  
(*S*)-**1b** ( R = Ph)

