# The alpha effect
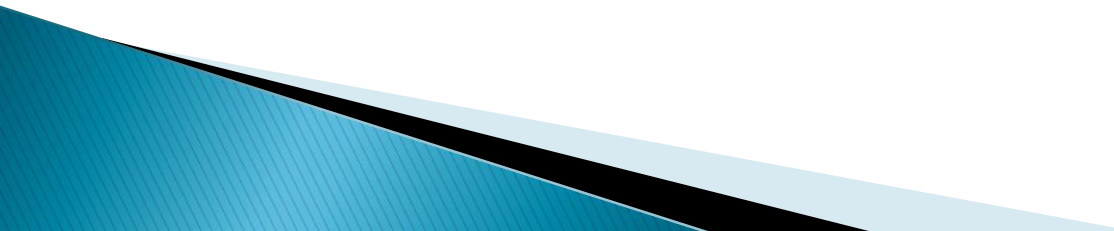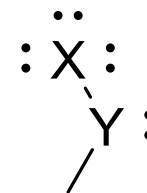
Andrew Zahrt
Denmark Group Meeting
4.28.15

# Overview

- Introduction
- Early Publications/Hypotheses:
  - Thermodynamic Product Stability
  - Ground State Destabilization
  - Transition State Stabilization
- Solvent Effects
- Gas Phase Experiments
- Conclusions

# Introduction

- ## The alpha effect:
  - Refers to the increased reactivity of a nucleophile due to the presence of an adjacent (α) atom with a lone pair of electrons.
  - Frequently referenced with basicity
- ## Seminal Investigation: Jencks and Carriuolo
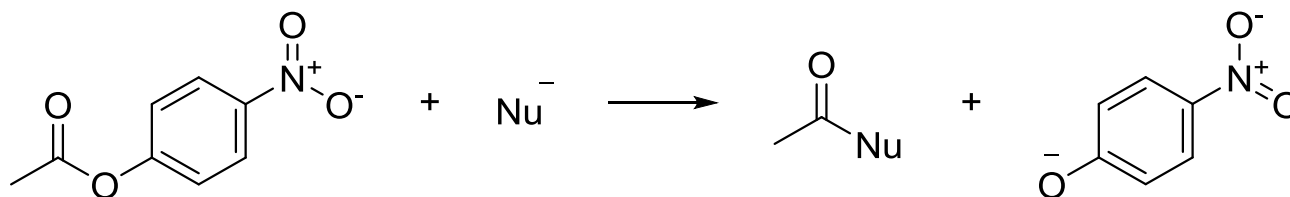


  - First to attribute enhanced nucleophilicity to the presence of an atom with a lone pair α to the nucleophilic center

$$HO-O^-$$

Jencks *et* al. JACS, 1960, **82**, 675
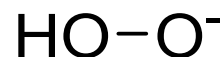
# Jencks and Carriuolo

- Relative rates of substitution

- Attributed the abnormal reactivity to increased polarizability of nucleophiles

The presence of an α-atom with lone pairs leads to greater nucleophilicity than the basicity would suggest
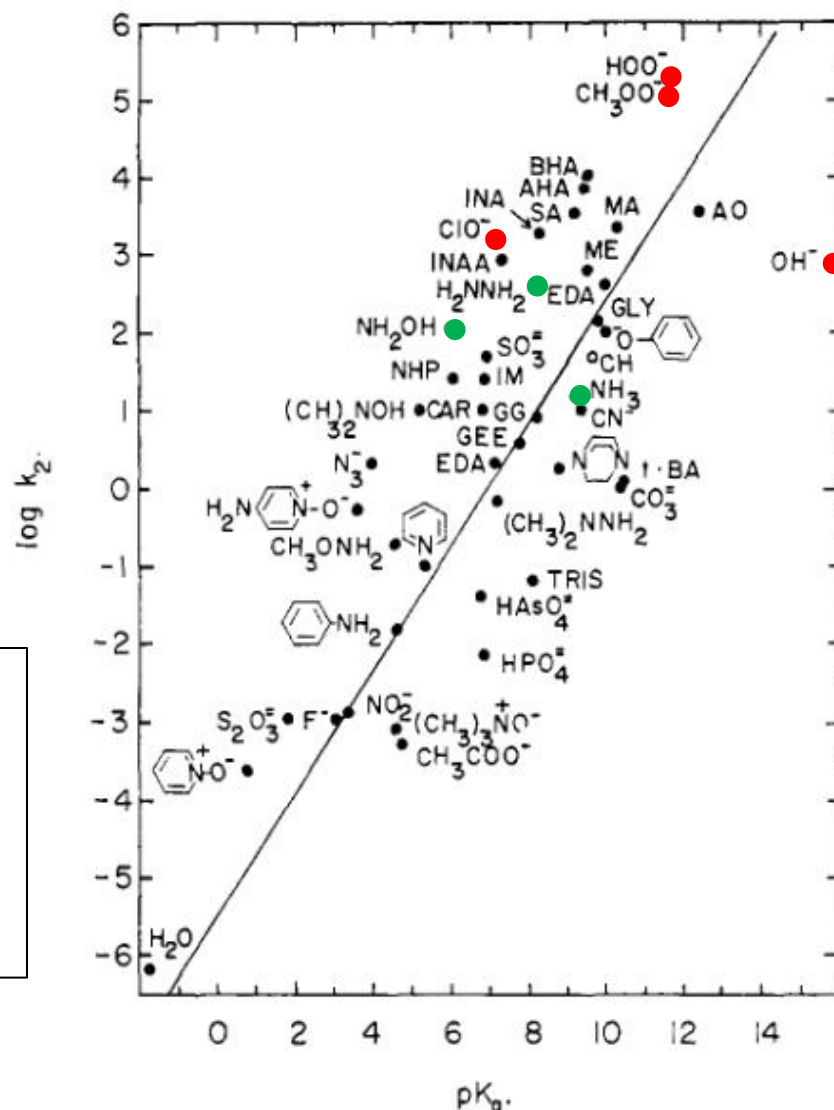
# The α-effect

- The term "the α-effect" was first used by Edwards and Pearson.
  - Described it as an additional factor influencing nucleophilicity, separate from polarizability.
  - Their hypothesis:
    - Stabilization of the relative electron deficiency in the transition state via π-bonding (conceptually similar to carbocation stabilization by a neighboring heteroatom)

$$N^m \longrightarrow N^{m+2} + 2e^-$$

    - Extent of π-donation is greater in nucleophilic addition products than in the conjugate acid, resulting in enhanced stability of the former

Edwards *et* al. JACS, 1962, **84**, 16

# What is the origin of the α-effect?

- Hypotheses:
  - Increased Polarization of Nucleophiles[1]

  - Transition State Stabilization by lone pair at α-position[2]

  - Relative stability of products[2]

  - Diminished Solvation of α-nucleophiles[3]

  - Ground State Destabilization due to electron-electron repulsion[4]
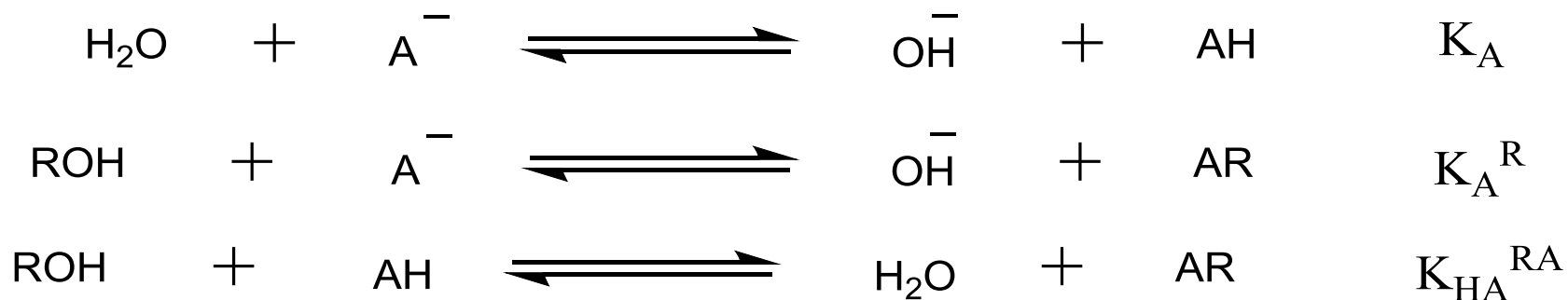
[1] Jencks *et* al. JACS, 1960, **82**, 675
[2] Edwards *et* al. JACS, 1962, **84**, 16
[3] C.A. Bunton in "Peroxide Reaction Mechanisms," J.O. Edwards, Ed., Intersience Publishers, Inc., New York, N.Y., 1962, p25
[4] Edwards, JACS, 1962, **84**, 763

# Product Stability

- Overlap of α−electrons should lower the transition state energy *and* increase the $pK_a$, which would result in no deviation from Brønsted plot

- $pK_a$ may not be the best reference by which to compare nucleophilicity

$$H_2O \quad + \quad A^- \quad \rightleftharpoons \quad OH^- \quad + \quad AH \qquad K_A$$

$$ROH \quad + \quad A^- \quad \rightleftharpoons \quad OH^- \quad + \quad AR \qquad K_A{}^R$$

$$ROH \quad + \quad AH \quad \rightleftharpoons \quad H_2O \quad + \quad AR \qquad K_{HA}{}^{RA}$$

$$K_{HA}{}^{RA} = \frac{K_A{}^R}{K_A}$$

Bruice *et al.* JACS, 1967, **89**, 1967
Hine *et al.* JACS, 1965, **87**, 3387

# Product Stability

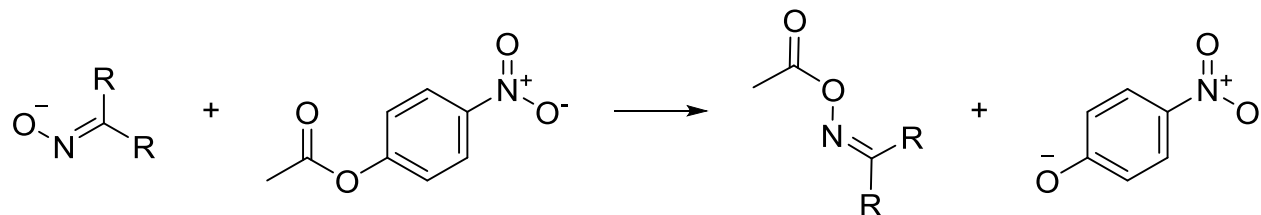ROH $\quad$ + $\quad$ AH $\quad$ ⇌ $\quad$ $H_2O$ $\quad$ + $\quad$ AR $\qquad$ $K_{HA}^{RA}$

**Table IV.** Values of $K_{HA}^{RA}$, $K_A$, and $K_A^R$ in Water at $25°^a$

| R | A | $K_{HA}^{RA}$ |
|---|---|---|
| Me | OMe | $1.1 \times 10^2$ |
| Me | OPh | $1.4 \times 10$ |
| Me | SH | $8 \times 10^8$ |
| Me | SMe | $2 \times 10^{10}$ |
| Me | SPh | $7 \times 10^9$ |
| Me | CN | $7 \times 10^{15}$ |
| Me | CH$_2$Ac | $4 \times 10^{12}$ |

- $K_A^R$ or $K_{HA}^{RA}$ could be a better reference for stability

- The factors that stabilize the products of α-nucleophilic reactions also stabilize the transition state

# Reactions of Oximes



Rate enhancements in reactions of oximate anions with p-nitro-phenyl acetate in water at 25°

| Oxime | $pK_a$ | Rate enhancement[a] |
|---|---|---|
| $H_2N$, Me — C=N–OH | 12·9 | 1·2 |
| Et, Et — C=N–OH | 12·60 | 1·0 |
| Me, Me — C=N–OH | 12·42 | 1·0 |
| Ph, Me — C=N–OH | 11·48 | 2·7 |
| Ac, Me — C=N–OH | 9·38 | 100 |
| Ac, Ac — C=N–OH | 7·38 | 933 |

[a] Defined as the ratio (bimolecular rate constant for oximate anion/bimolecular rate constant for a phenoxide or alkoxide anion of the same basicity).

[7] Hudson *et al.* J. Chem. Soc. D, 1970, 937

# A MO Explanation of Increased Nucleophilicity

- Hudson proposed that overlap of doubly occupied $p_\pi$ orbitals leads to an increase in the HOMO energy

- A reaction of such a nucleophile will have decreased $p_\pi$–$p_\pi$ interaction in the transition state

- The combination of these factors results in the increased reactivity

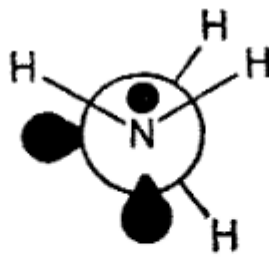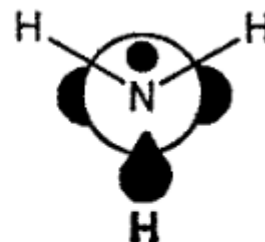- Some α–nucleophiles might not have the proper orbital symmetry for this interaction

[7] Hudson *et al.* J. Chem. Soc. D, 1970, 937
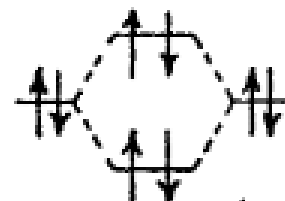
# "Intramolecular Catalysis"

- Some molecules have conformers that minimize $p_\pi$–$p_\pi$ overlap:
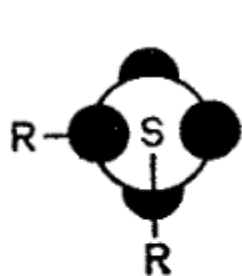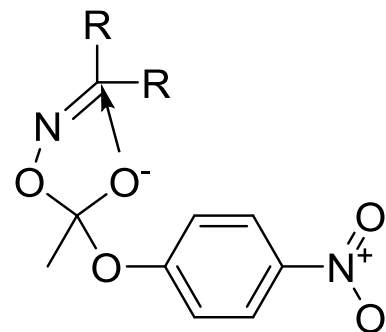


III(a) R–S–S–R
$\theta = 90°$

III(b) $NH_2$–$NH_2$
$\theta \cong 90°$

III(c) $NH_2$–OH
$\theta \simeq 180°$ (or 0°)

- α–effect attributed to "intramolecular catalysis"

# Unanswered Questions

- Why does higher HOMO not result in enhanced proton affinity?
- Why do some α-nucleophiles show enhanced reactivity, while similar α-nucleophiles do not?

# Charge vs Frontier Orbitals

▸ Derived perturbation for all interacting orbitals:

$$\Delta E =$$
$$-q_s q_r \Gamma_{rs} + \Sigma_m \Sigma_n (v_m - v_n + \chi_{mn}) \left[ \frac{2(c_r^m c_s^n \beta_{rs})^2 \varepsilon_{mn}}{E_m^* - E_n^*} + c_r^m c_s^n \beta_{rs}(1 - \varepsilon_{mn}) + \frac{\chi_{mn}(EA_m - IP_n)}{4} \right]$$

q = charge

$\Gamma_{rs}$ = Coulomb term ($\frac{e^2}{\sqrt{R^2 + (\partial_n + \partial_m)^2}}$)

$v$ = orbital occupancy

$X$ = constant (2 if both $v_n$ and $v_m$ = 1, 0 otherwise)

$\epsilon_{mn}$ = 0 if orbitals are degenerate, 1 otherwise

c = orbital coefficients

β = resonance integral

$Ea_m$ = Energy lost by removing 1 electron

$Ip_n$ = Energy gained by adding one electron

$E_m^*$ = Energy of electron in orbital m

$E_n^*$ = Energy of electron if it was in orbital n

# Limiting Cases

$$\Delta E =$$
$$-q_s q_r \Gamma_{rs} + \Sigma_m \Sigma_n (v_m - v_n + \chi_{mn}) \left[ \frac{2(c_r^m c_s^n \beta_{rs})^2 \varepsilon_{mn}}{E_m^* - E_n^*} + c_r^m c_s^n \beta_{rs}(1 - \varepsilon_{mn}) + \frac{\chi_{mn}(EA_m - IP_n)}{4} \right]$$

- If $E_m^*$ – $E_n^*$ is large, the value in the summation is small – charge difference is most important.
  ◦ Also possible if β is small (poor orbital overlap)

- $\Gamma_{rs}$ is also largest at with smaller radii, corresponding to low polarizability

$$\Gamma_{rs} = \frac{e^2}{\sqrt{R^2 + (\partial_n + \partial_m)^2}}$$
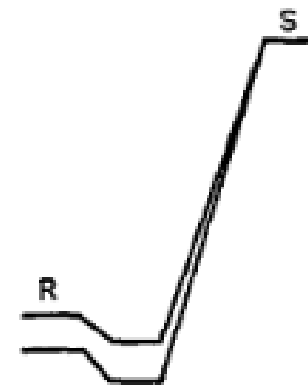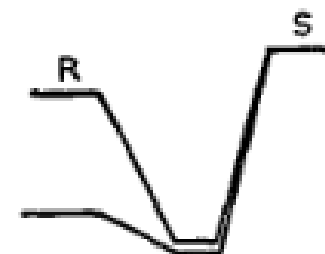


Large   $E_m^* - E_n^*$

Small   Perturbation

# Limiting Cases

$$\Delta E =$$

$$-q_s q_r \Gamma_{rs} + \Sigma_m \Sigma_n (v_m - v_n + \chi_{mn}) \left[ \frac{2(c_r^m c_s^n \beta_{rs})^2 \varepsilon_{mn}}{E_m^* - E_n^*} + c_r^m c_s^n \beta_{rs}(1 - \varepsilon_{mn}) + \frac{\chi_{mn}(EA_m - IP_n)}{4} \right]$$

- If $E_m^* - E_n^*$ is small, the value in the summation is large:
  - Corresponds to frontier orbital control

- Charge term also decreases as radii increases



Small $\quad E_m^* - E_n^*$

Large $\quad$ Perturbation

# Unanswered Questions

- ~~Why does higher HOMO not result in enhanced proton affinity?~~
  - Because an interaction with a proton is less dependent on frontier orbital interactions, the perturbation will be smaller in a reaction with a proton
    - Frontier orbitals are changed less. Therefore, we have relieved less of the destabilizing interaction.
- Why do some α-nucleophiles show enhanced reactivity, while similar α-nucleophiles do not?

# Hudson's Equation for α-nucleophiles

$$\Delta\Delta log k = \frac{\beta c_2^H (m-1)\alpha_j'}{\alpha_j^2} \; where \; m \equiv c_1^H c_2 / c_2^H c_1$$

Perturbed nucleophilic orbital energy

Brønsted value

Unperturbed nucleophilic orbital energy

▸ The magnitude of the α-effect should:
- Increase as β increases
- Show a dependence on orbital symmetry
- Decrease with the magnitude of $\alpha_j$

Filippini, F.; Hudson, R. J.C.S. Chem. Comm. 1972, 522

# Oximes Revisited
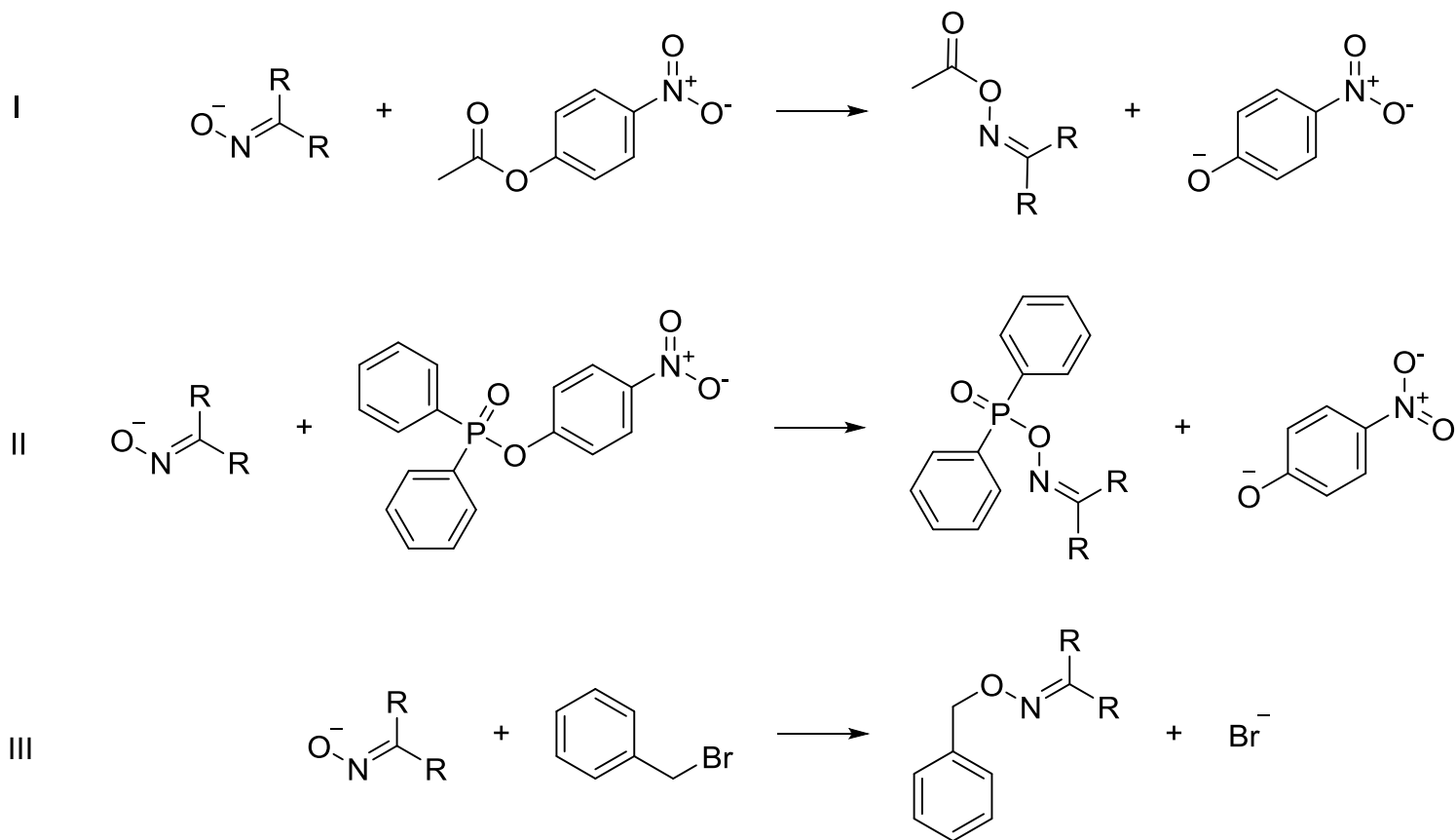


Aubort, J.D.; Hudson, R.F.; Woodcock, R.C. *Tet. Lett.* 1973, **24,** 2229

# Oximes Revisited

Cannot be "intramolecular catalysis" as proposed in first publication

| | pKa | I | II | III |
|---|---|---|---|---|
| $NH_2$—C(Me)=N—OH | 12.9 | 1.2 | – | – |
| $Et_2C$=N—OH | 12.6 | 1.0 | 0.6 | – |
| $Me_2C$=N—OH | 12.42 | 1.0 | 1.0 | 1.0 |
| Ph—C(Me)=N—OH | 11.48 | 2.7 | 1.0 | – |
| MeCO—C(Me)=N—OH | 9.38 | 100 | 16.5 | 4.0 |
| $(MeCO)_2C$=N—OH | 7.38 | 933 | 25.0 | – |
| Brönsted β | | 0.8 | 0.38 | 0.29 |

Aubort, J.D.; Hudson, R.F.; Woodcock, R.C. *Tet. Lett.* 1973, **24,** 2229

# Oximes Revisited (3)

- Oxygen has two potentially reactive lone pairs:
  - One in conjugation (π-orbital)
  - One interacting with lone-pair on nitrogen (n*)

Electron withdrawing substituents lower the energy of the π orbital below n*. When this is the case, an α-effect is observed.



Fig. 2
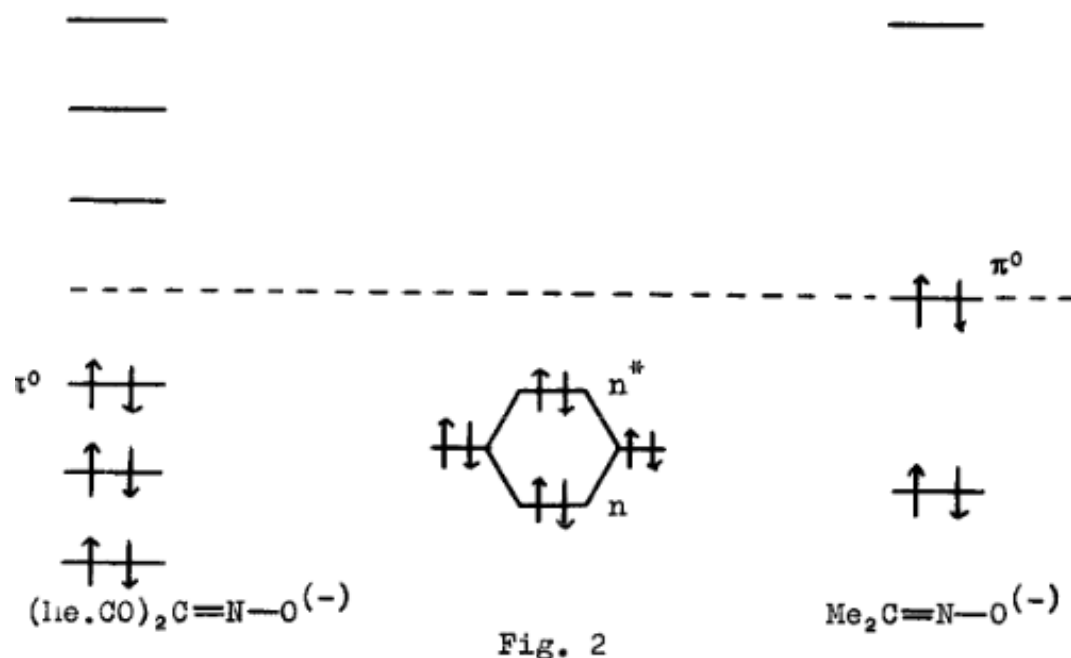
Aubort, J.D.; Hudson, R.F.; Woodcock, R.C. *Tet. Lett.* 1973, **24,** 2229

# Polarization Revisited

▸ Ingold suggested increased reactivity of α-nucleophiles could result from an antibonding HOMO, with a node between the nucleophile and α-atom

  ◦ Results in inhomogeneous polarizability, facilitating interaction with electrophile

  ◦ Antibonding interaction is decreased as interaction with an electrophile progresses, hence lowering transition state energy

Ingold, K.C. "Structure and Mechanism in Organic Chemistry", 2nd ed. Cornell University Press, Ithaca, N.Y., 1969, pp 452–453
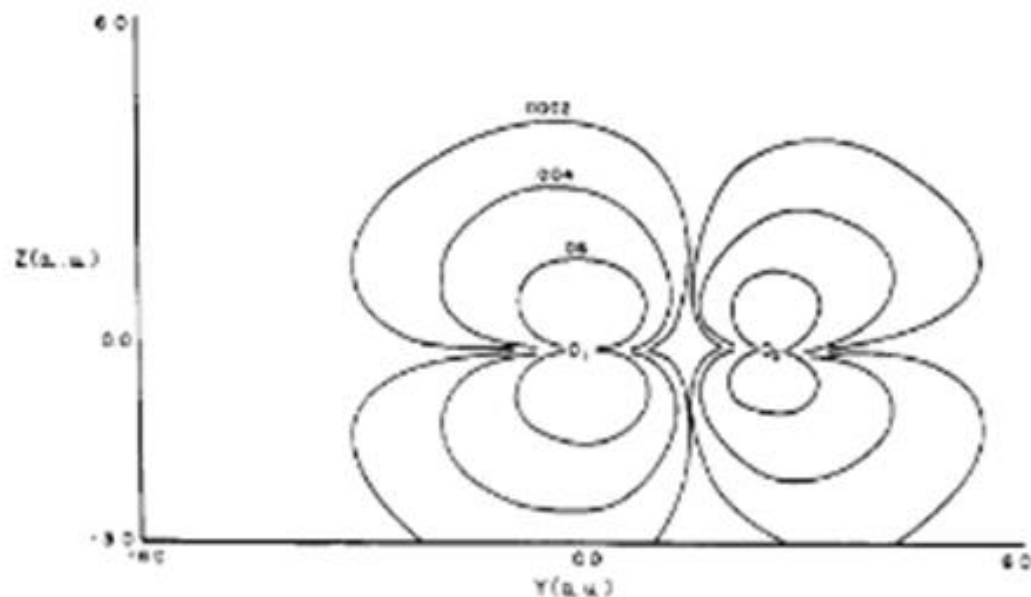
# Electronic Structure Analysis

▸ Ab initio calculations on $Cl^-$, $OH^-$, $NH_3$, $CH_3NH_2$, $NH_2OH$, $NH_2NH_2$, $ClO^-$, and $OOH^-$:

- ◦ HOMO energies: $NH_2OH < NH_3 < NH_2NH_2 < CH_3NH_2 < ClO^- < Cl^- < OH^- < OOH^-$

- ● $OH^-$ and $Cl^-$ HOMOs are lone pairs
- ● HOMOs of $CH_3NH_2$ and $NH_2OH$ have some antibonding character
- ● $NH_2NH_2$, $OOH^-$, and $OCl^-$ have strong antibonding character in HOMOs



[12]Heaton, M.M. JACS, 1978, **100**, 2004
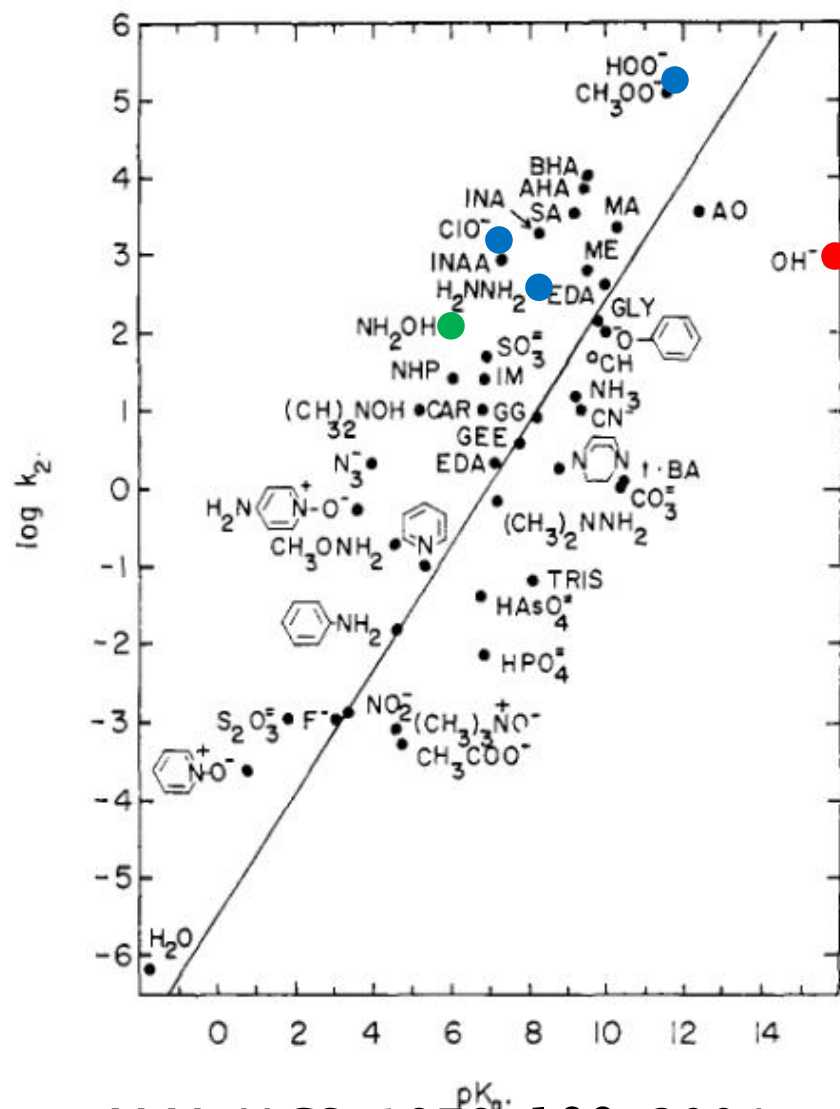
# The case of OOH⁻

Figure 1.



Probability Distribution of OOH⁻

1. Of the anionic nucleophiles, OOH⁻ has the least excess negative charge on the nucleophilic center

2. HOMO is an asymmetric antibonding combination of $p_z$ orbitals, with the nucleophilic orbital being more diffuse.

**Table III.** Net Atomic Charges on Nucleophiles[a]

| OH⁻ | ClO⁻ | OOH⁻ | NH₃ | NH₂OH | NH₂NH₂ | CH₃NH₂ |
|---|---|---|---|---|---|---|
| O −1.2 | O −0.78 | $O_1$ −0.73 | N −0.88 | N −0.50 | N −0.59 | N −0.70 |
| H +0.2 | Cl −0.22 | $O_2$ −0.55 | H +0.29 | O −0.53 | $H_{1,3}$ +0.27 | C −0.42 |
|  |  | H +0.28 |  | $H_{1,2}$ +0.31 | $H_{2,4}$ +0.31 | $H_{1,2}$ +0.17 |
|  |  |  |  | $H_3$ +0.41 |  | $H_3$ +0.21 |
|  |  |  |  |  |  | $H_{4,5}$ +0.29 |

# Analysis of OOH⁻

- High HOMO and charge considerations are consistent with Hudson's analysis
  - High HOMO suggests ground-state destabilization
  - Low charge and nucleophilic oxygen suggests the Coulomb term is relatively small for OOH⁻

- Antibonding combination of orbitals supports Ingold's hypothesis on α-nucleophilicity:
  - Diffuse nucleophilic is more easily polarized by approach of electrophile
  - Donation of antibonding electrons to electrophile has a stabilizing effect on the system

# Transition State Stabilization

▸ Some nucleophilic reactions involve a partial electron transfer event:[13]

$$N: + S \rightarrow [N\cdot + S\cdot] \rightarrow N\text{-}S$$

▸ Because of diradical character, α-lone pair stabilizes the transition state:

[13]Hoz, S. J. Org. Chem. 1982, **47**, 3545
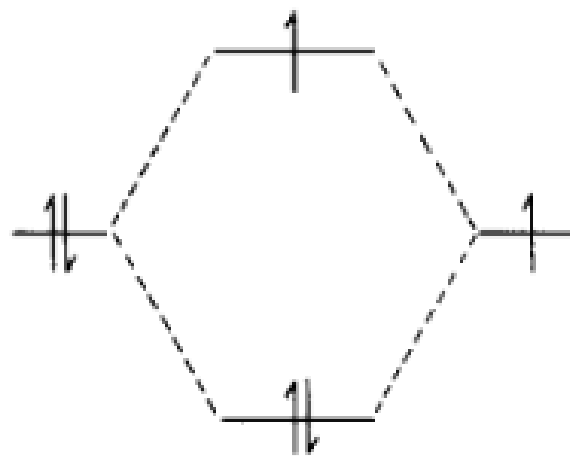
# Transition State Stabilization

▸ Extent of stabilization will be proportionate to contribution of diradicaloid character:

- Substrates with high electron affinity (lower LUMO) are more likely to undergo an ET event
  - Explains observation of smaller $\alpha$-effects in Sn2 reactions relative to addition to unsaturated compounds
- Large $\beta$-values associated with ET reactions
  - Dependence of magnitude of $\alpha$-effect on $\beta$ agrees with Hudson's work

# Intermission
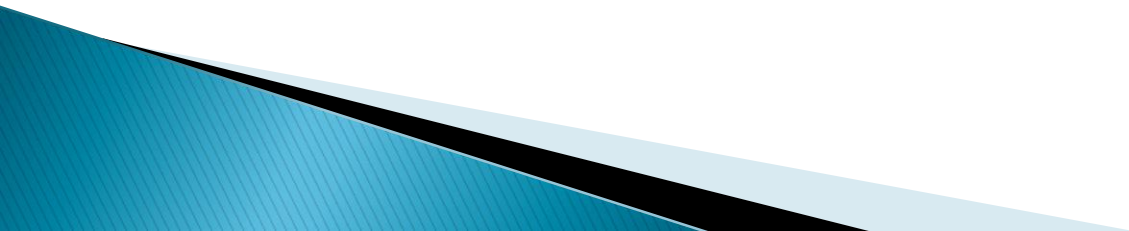
# Studying Solvent Effects: Gas Phase Reactions

$$HX^- \quad + \quad \text{methyl formate} \longrightarrow HXH \cdot OCH_3^- \quad + \quad CO$$
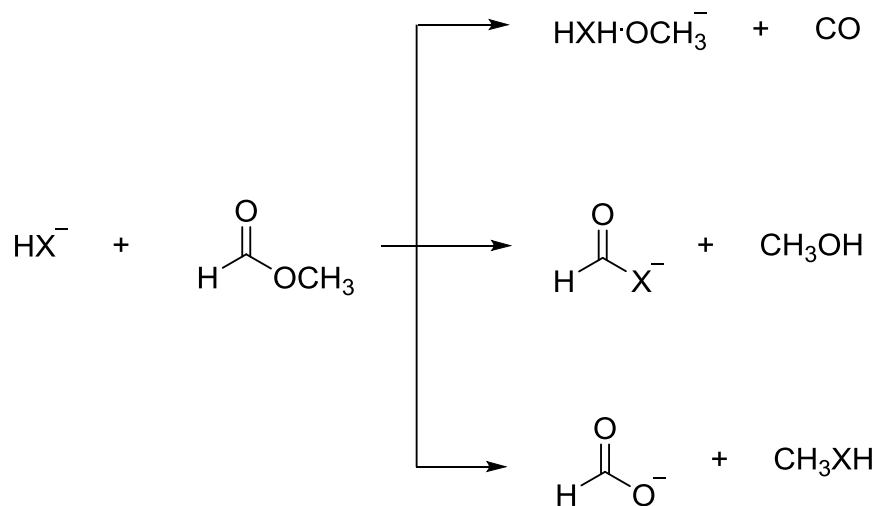


"HOO⁻ shows no enhanced nucleophilic reactivity compared to HO⁻ in the gas phase, and we conclude that the α−effect is most likely a manifestation of solvent effects."
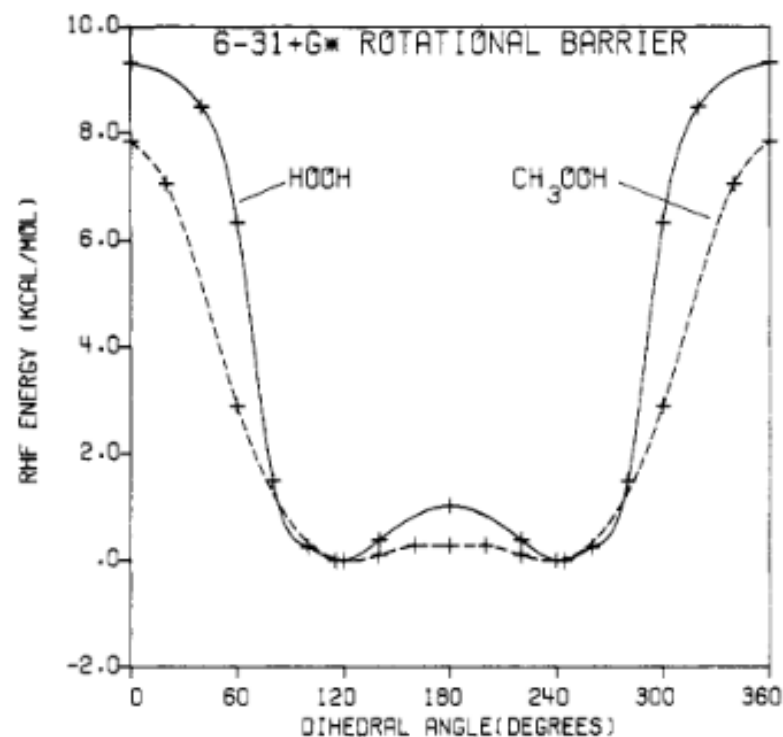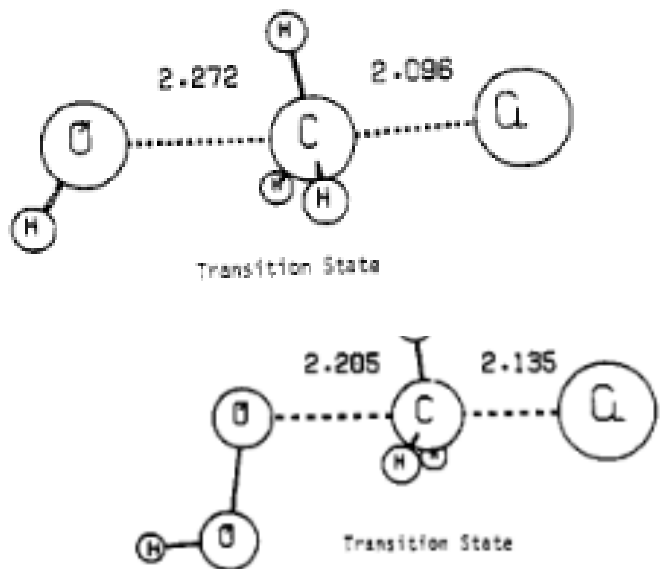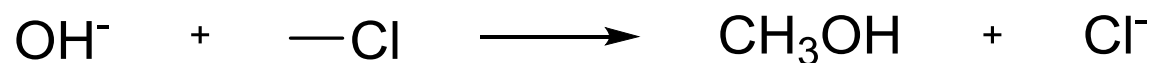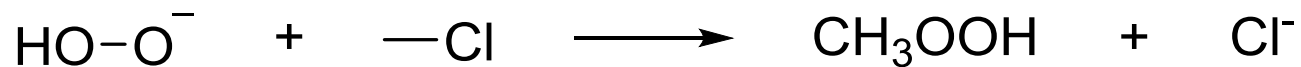
**Table I.** Reaction Pathways with Methyl Formate[a]

| | proton abstraction, % | carbonyl addition, % | $S_N2$ displacement, % |
|---|---|---|---|
| $H^{18}O^-$ | 61 | 34 | 5 |
| $HOO^-$ | 64 | 28 | 8 |

DePuy *et al.* JACS. 1983, 105, 2481

# Solvent Effects?

- The magnitude of the α–effect is dependent on β
- Prior reactions have low selectivity
  - Evident by 20kcal/mol difference in proton affinities
- It is likely that β approaches 0, so we would expect to observe no α–effect
- "Determination of $\beta_{nuc}$ is essential in order to obtain a meaningful conclusion."

Hoz, S.; Bunce., E. Tet. Lett. 1984, 25, 3411

# Solvent Effects: A Theoretical Study

$$HO-O^- \quad + \quad -Cl \quad \longrightarrow \quad CH_3OOH \quad + \quad Cl^-$$

$$OH^- \quad + \quad -Cl \quad \longrightarrow \quad CH_3OH \quad + \quad Cl^-$$
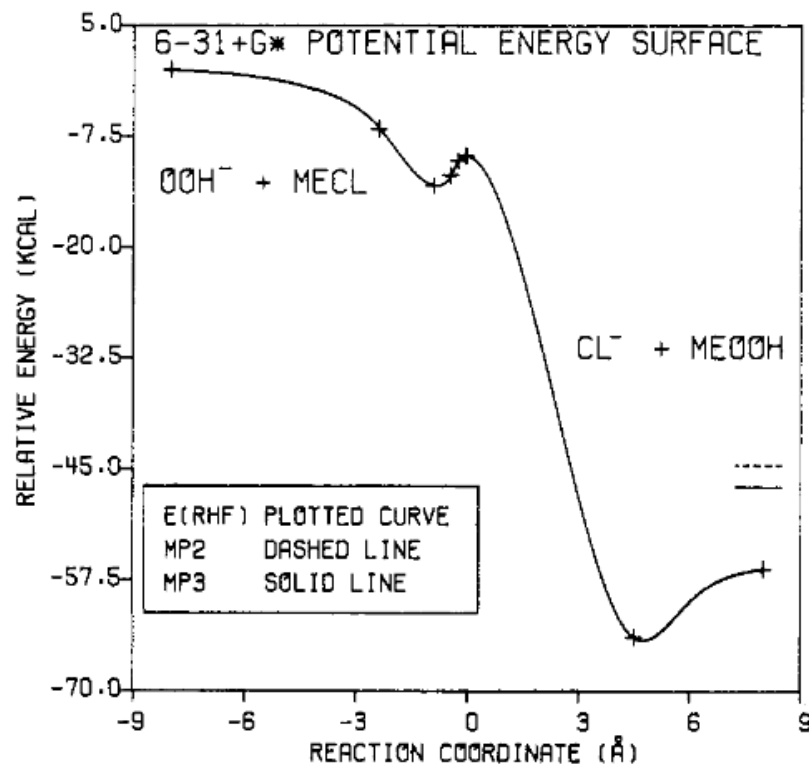


Jorgensen *et al.* JACS. 1987, 109, 2349

# Solvent Effects: A Theoretical Study
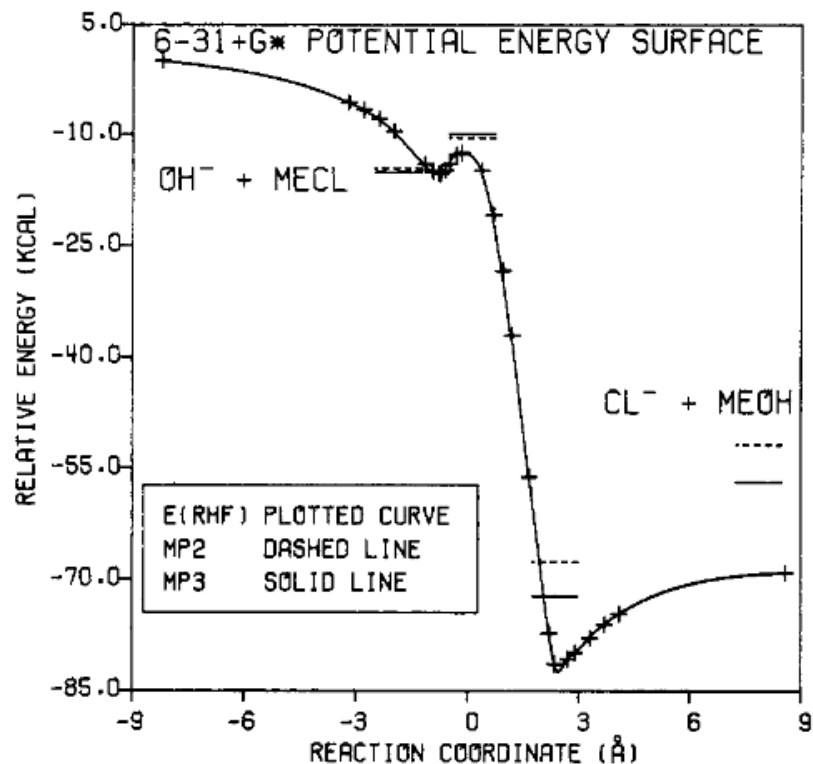


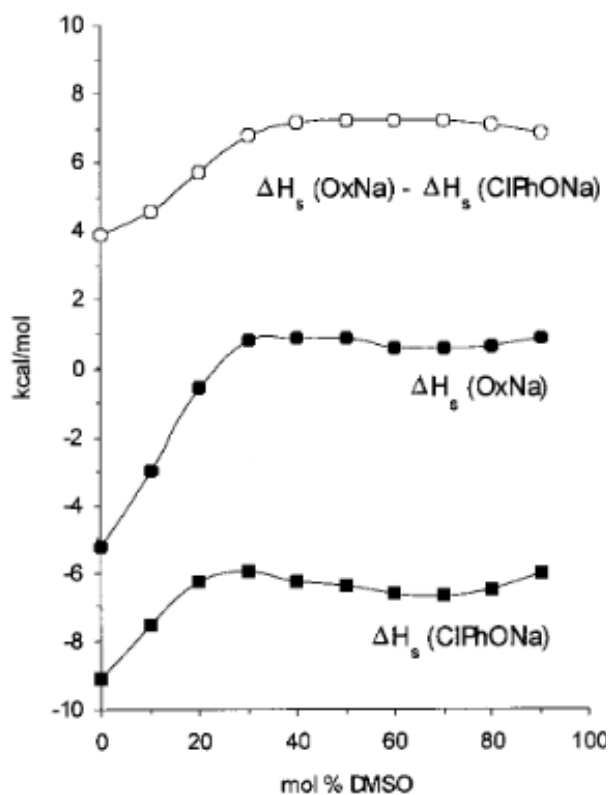| species | 6-31+G(d) | MP2[a] | MP3[a] |
|---|---|---|---|
| reactants | 0.0 | 0.0 | 0.0 |
| HO$^-\cdots$CH$_3$Cl | −15.3 | −14.9 | −15.1 |
| transition state | −12.4 | −10.4 | −10.1 |
| CH$_3$OH$\cdots$Cl$^-$ | −81.4 | −67.6 | −72.3 |
| products | −69.1 | −51.8 | −56.8 |

| species | 6-31+G(d) | MP2[a] | MP3[a] |
|---|---|---|---|
| reactants | 0.0 | 0.0 | 0.0 |
| HOO$^-\cdots$CH$_3$Cl | −13.8 | | |
| transition state | −9.7 | | |
| CH$_3$OOH$\cdots$Cl$^-$ | −68.0 | | |
| products | −56.3 | −44.5 | −47.0 |

Jorgensen *et al.* JACS. 1987, 109, 2349
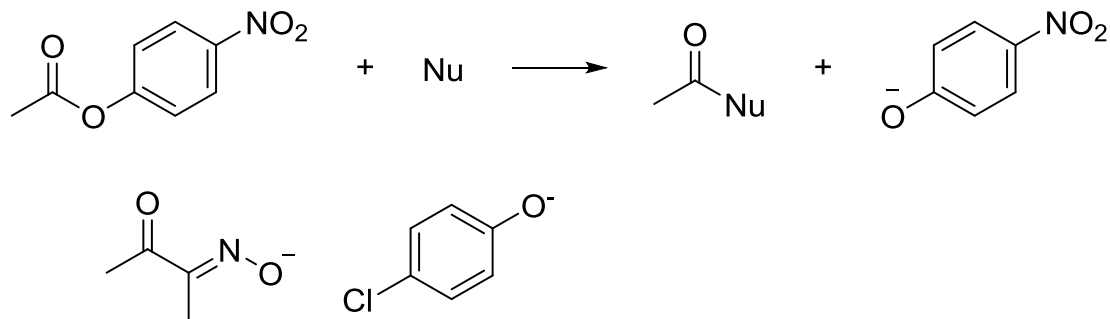
# Um and Buncel: Effects of Solvation GS
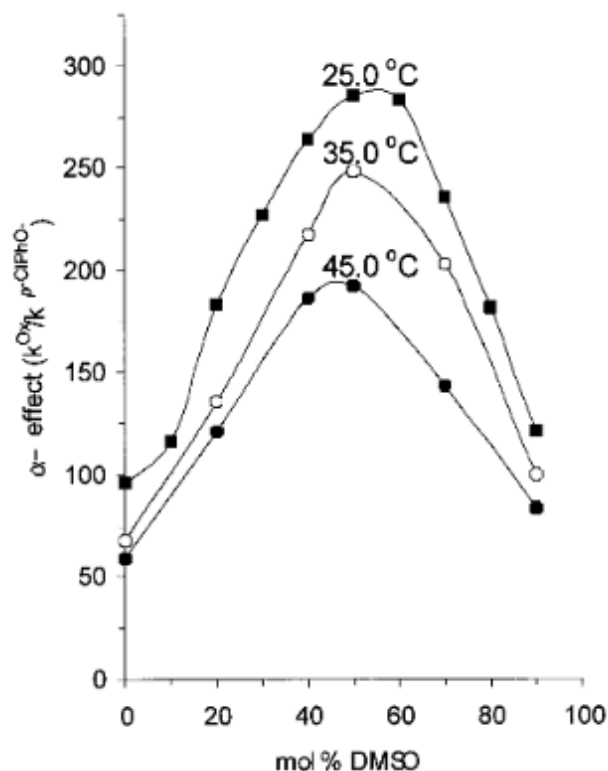
- Trend in desolvation parallels α-effect up to ~40% DMSO in water.
- Difference in GS desolvation does not explain the changes in the α-effect at higher mol% DMSO

Um, I.-H.; Buncel, E. J. Org. Chem. 2000, 65, 577-582

$\Delta G^{\ddagger}$ (ClPhO$^-$) decreases more rapidly than $\Delta G^{\ddagger}$ (Ox$^-$) at high mol% DMSO

The extent of asynchronicity in the $\Delta G^{\ddagger}$ (Ox$^-$) transition state is more variable with respect to solvent , where asynchronicity in $\Delta G^{\ddagger}$ (ClPhO$^-$) remains constant
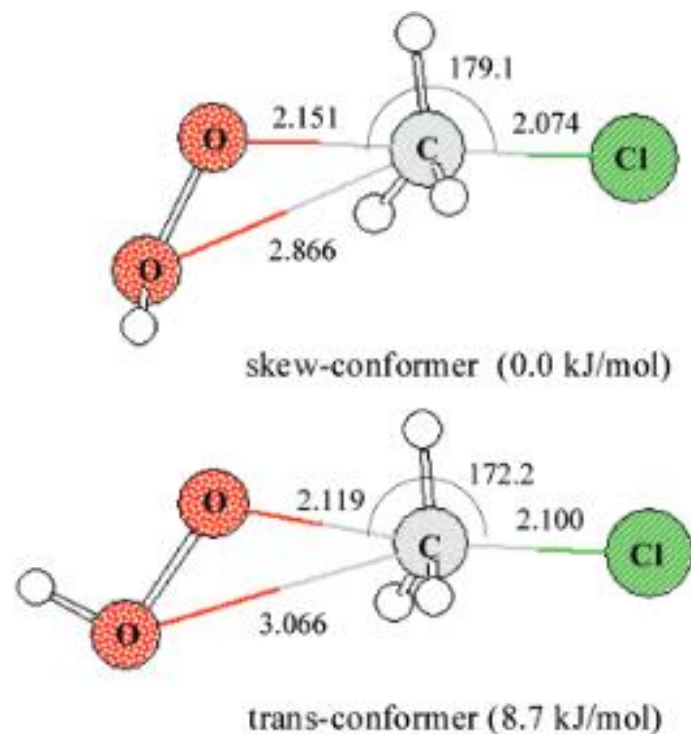


Um, I.-H.; Buncel, E. J. Org. Chem. 2000, 65, 577-582
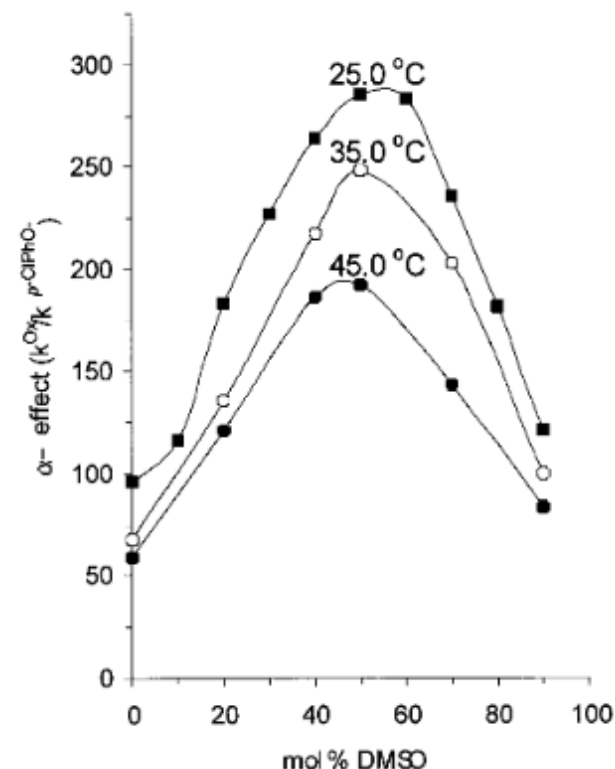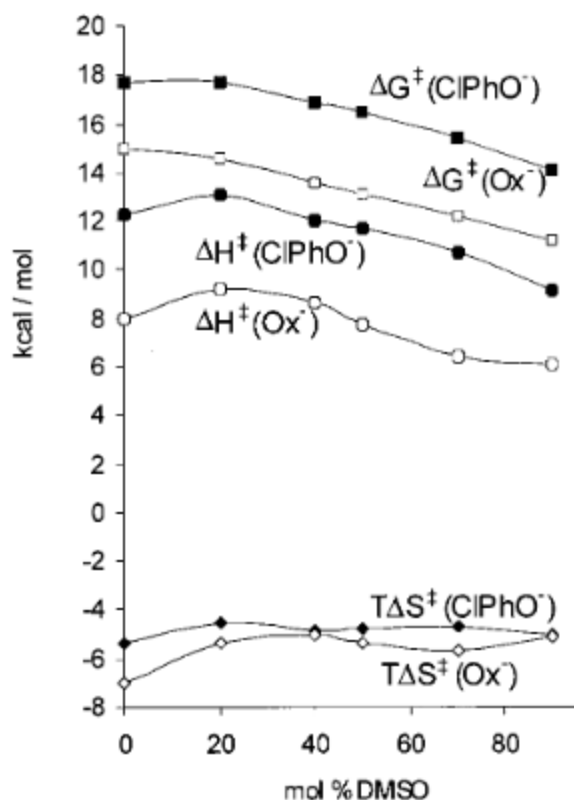
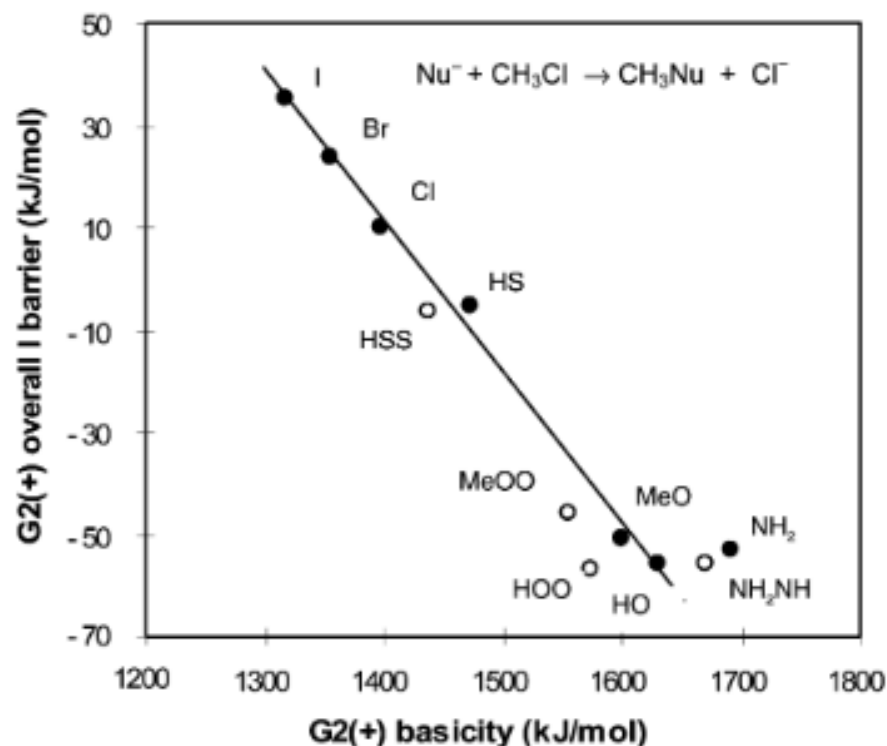# Gas Phase α-effect

- Most evidence pointed to the absence of a solvent effect in the gas phase

- New study found lower energy transition state at higher level of theory



skew-conformer (0.0 kJ/mol)



trans-conformer (8.7 kJ/mol)
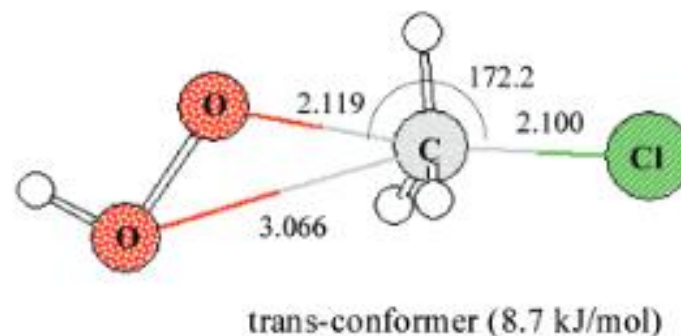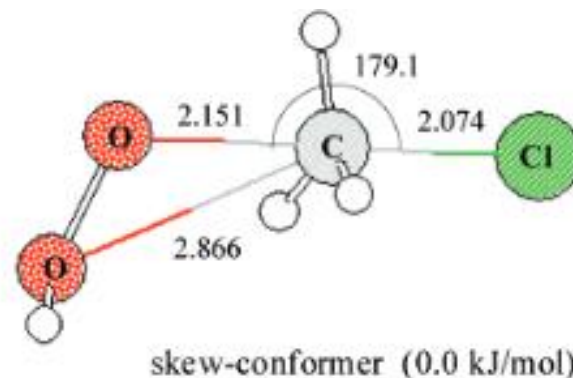
Jorgensen *et al.* JACS. 1987, 109, 2349
Ren, Y.; Yamataka, H. Org. Lett. 2006, 8, 119-121

# Gas Phase α-effect



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# Gas Phase S$_N$2

Nucleophilicity of "normal" nucleophiles correlates well to proton affinity, while α-nucleophiles show negative deviation
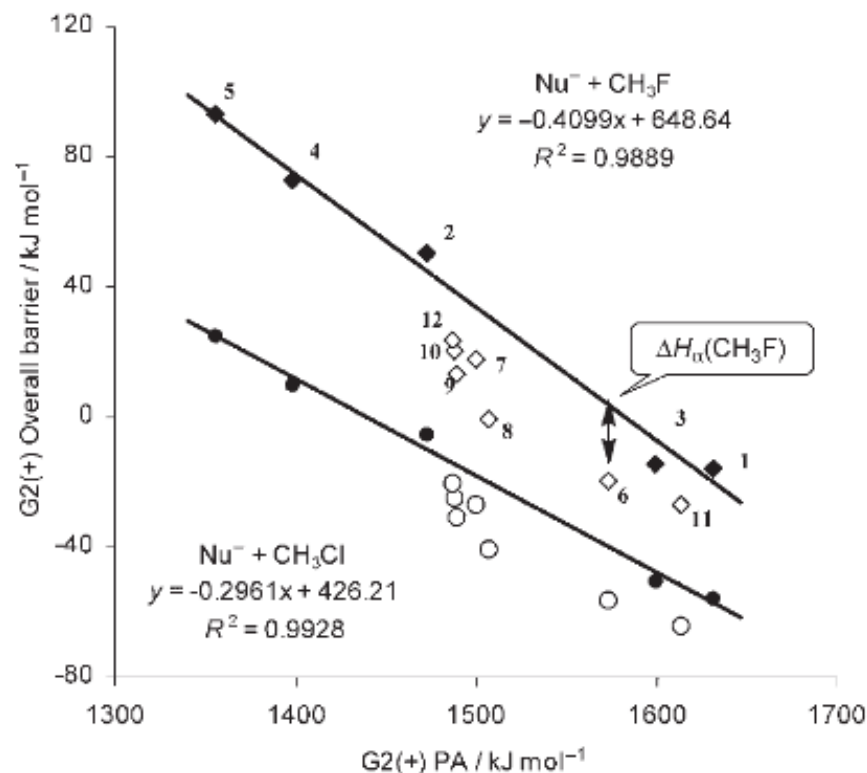


Figure 1. Plot of the G2(+) overall barriers versus the PA's of normal nucleophiles (♦ and ●) and α-nucleophiles (◇ and ○) for the reactions given in Equations (1) (upper) and (2) (below) at 298.15 K. The numbers in bold represent the nucleophiles: 1: HO⁻; 2: HS⁻; 3: CH$_3$O⁻; 4: Cl⁻; 5: Br⁻; 6:HOO⁻; 7:HSO⁻; 8: FO⁻; 9: ClO⁻; 10:BrO⁻; 11: NH$_2$O⁻; 12: HC(=O)OO⁻.

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# Gas Phase S$_N$2

| Nu$^-$ | G2(+) PA | $\Delta H^{\ddagger}$ (CH$_3$F) | $\Delta H^{\ddagger}$ (CH$_3$Cl) | $\Delta H$ (CH$_3$F) | $\Delta H$ (CH$_3$Cl) | $\Delta E_{def}$ (CH$_3$F) | $\Delta E_{def}$ (CH$_3$Cl) |
|---|---|---|---|---|---|---|---|
| **1** HO$^-$ | 1631.8 | −15.6 | −55.5 | −77.6 | −205.6 | 119.9 | 56.9 |
| **2** HS$^-$ | 1473.1 | 50.4 | −5.6 | 42.7 | −85.3 | 178.7 | 98.3 |
| **3** CH$_3$O$^-$ | 1599.0 | −14.1 | −50.6 | −66.8 | −194.8 | 113.1 | 50.1 |
| **4** Cl$^-$ | 1398.4 | 73.1 | 9.9 | 128.0 | 0.0 | 234.7 | 135.0 |
| **5** Br$^-$ | 1355.3 | 92.9 | 24.7 | 162.0 | 34.0 | 260.4 | 142.4 |
| **6** HOO$^-$ | 1573.1 | −19.4 | −56.6 | −53.0 | −180.9 | 113.6 | 55.7 |
| **7** HSO$^-$ | 1500.2 | 17.9 | −26.7 | 32.7 | −95.3 | 156.2 | 81.8 |
| **8** FO$^-$ | 1507.2 | −0.7 | −40.8 | 2.5 | −125.5 | 134.6 | 71.3 |
| **9** ClO$^-$ | 1489.6 | 13.2 | −31.0 | 35.3 | −92.6 | 151.3 | 75.5 |
| **10** BrO$^-$ | 1488.0 | 20.4 | −24.9 | 42.6 | −85.4 | 160.4 | 82.8 |
| **11** NH$_2$O$^-$ | 1614.1 | −26.8 | −64.2 | −83.5 | −211.4 | 109.4 | 48.8 |
| **12** HCOOO$^-$ | 1486.9 | 23.7 | −20.4 | 43.0 | −84.8 | 152.8 | 82.9 |

Strong correlation between deformation energy and overall barrier indicates that the transition states for α-nucleophiles have less C–X bond cleavage in the transition state than expected from their proton affinity

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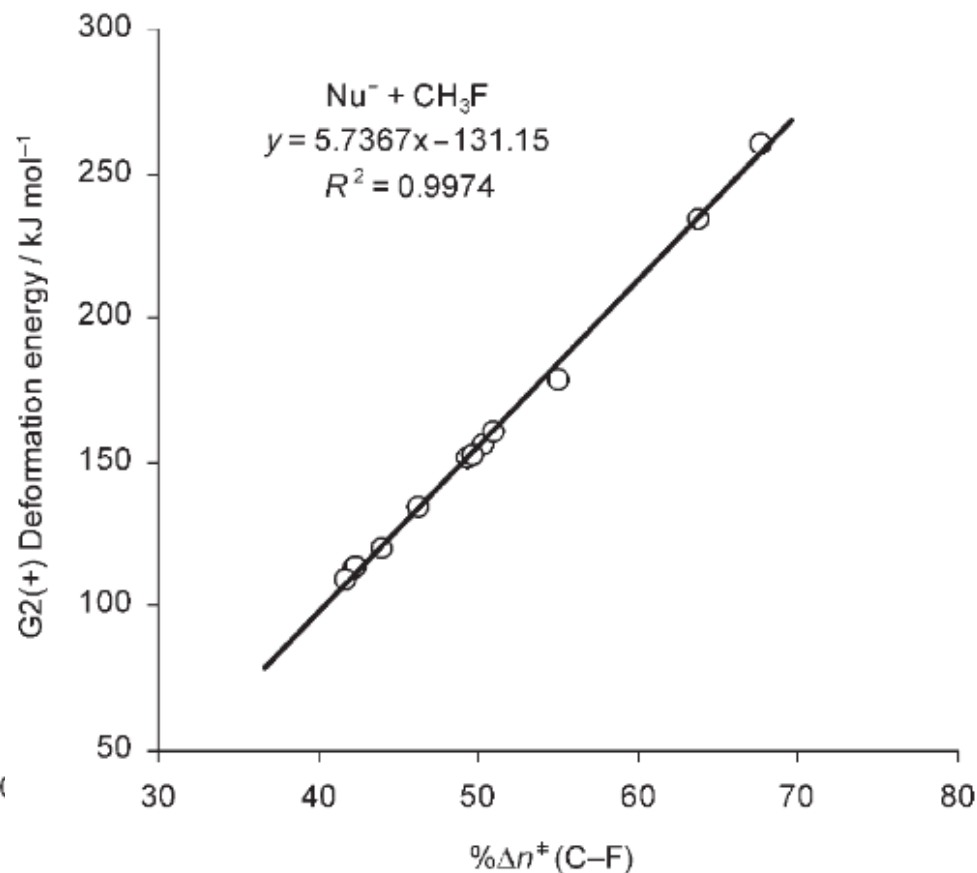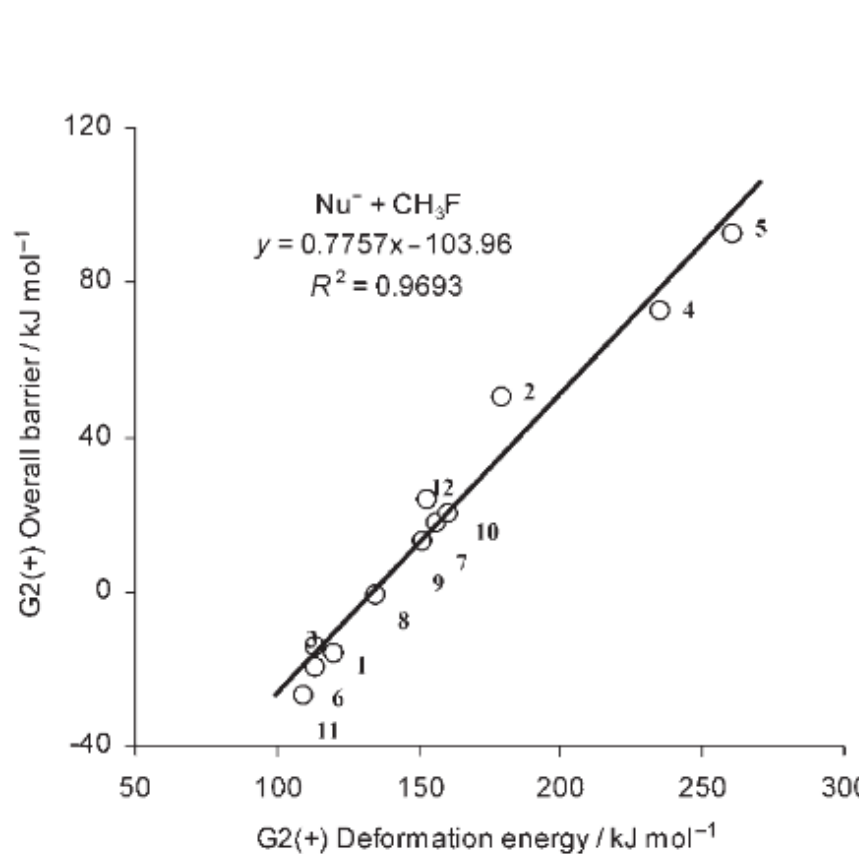
Top: **1**: $HO^-$; **2**: $HS^-$; **3**: $CH_3O^-$; **4**: $Cl^-$ **5**: $Br^-$; **6**: $HOO^-$; **7**: $HSO^-$; **8**: $FO^-$; **9**: $ClO^-$; **10**: $BrO^-$; **11**: $NH_2O^-$; **12**: $HC(=O)OO^-$
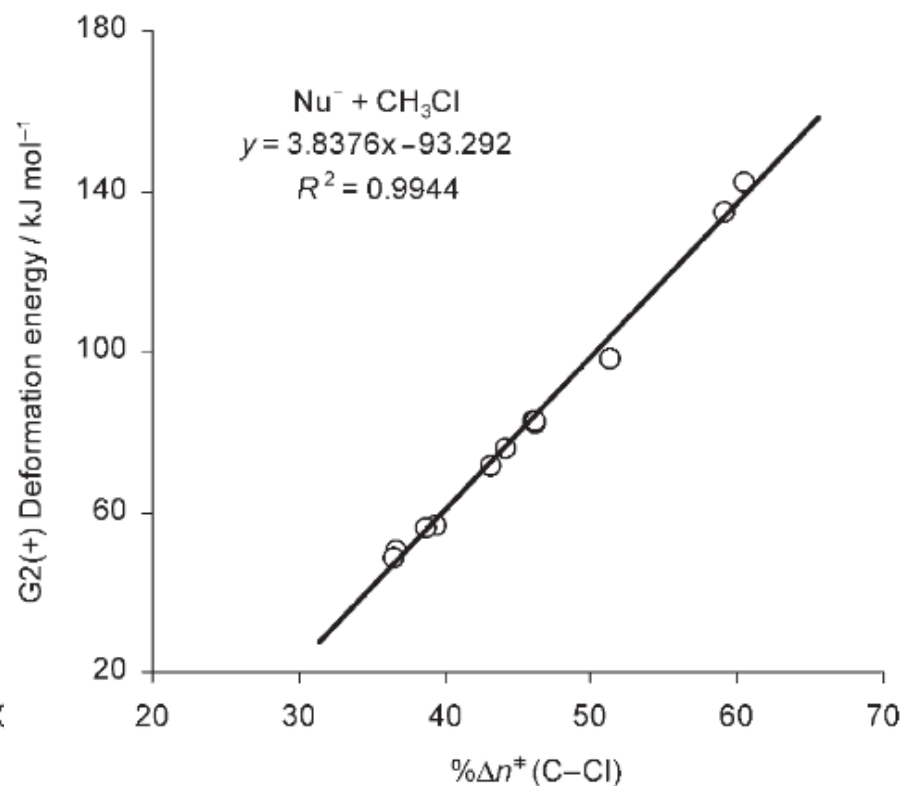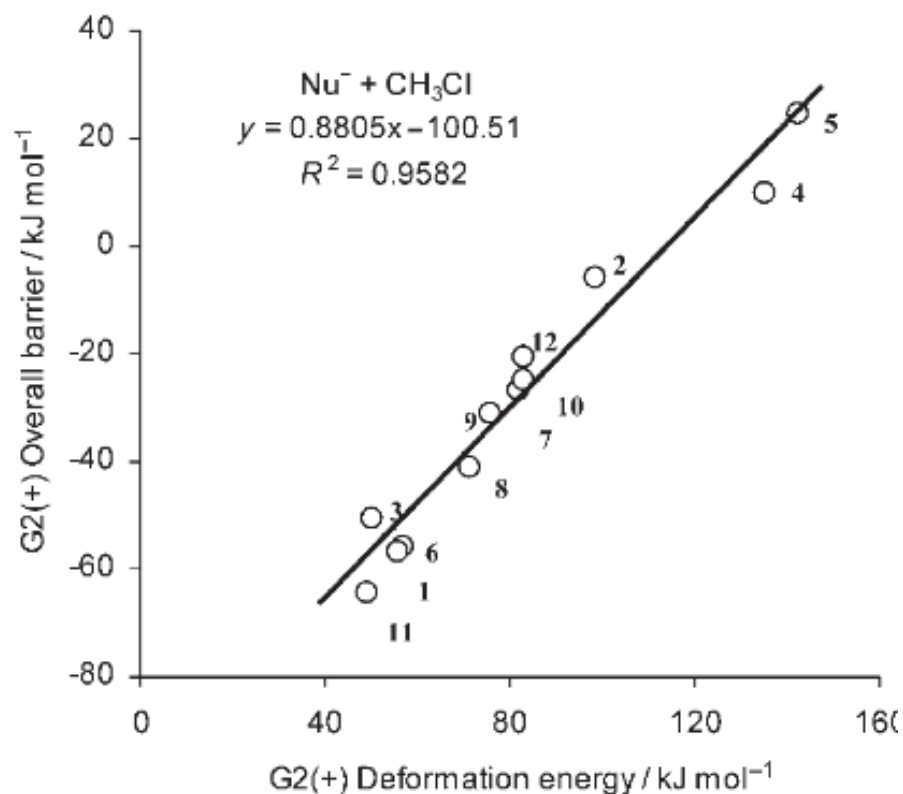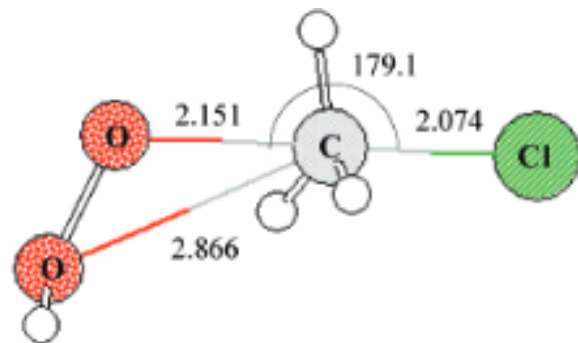
Bottom: **1**: $HO^-$; **2**: $HS^-$; **3**: $CH_3O^-$; **4**: $Cl^-$ **5**: $Br^-$; **6**: $HOO^-$; **7**: $HSO^-$; **8**: $FO^-$; **9**: $ClO^-$; **10**: $BrO^-$; **11**: $NH_2O^-$; **12**: $HC(=O)OO^-$.

# The magnitude of the α-effect

- More electronegative α-atoms lead to larger α-effects (FO⁻ vs ClO⁻)
- Electron withdrawing groups diminish the alpha effect
- Higher electron density at the α-atom and greater positive charge at the electrophile lead to a greater α-effect

# Old and New Hypotheses

- Thermodynamic Product Stability
- Transition State Stability
  - TS tightness
  - Polarizability
  - Deformation Energy

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# Thermodynamic Product Stability

- α–nucleophiles have negative deviation $\Delta H^{\ddagger}$ vs $\Delta H_{rxn}$ plot

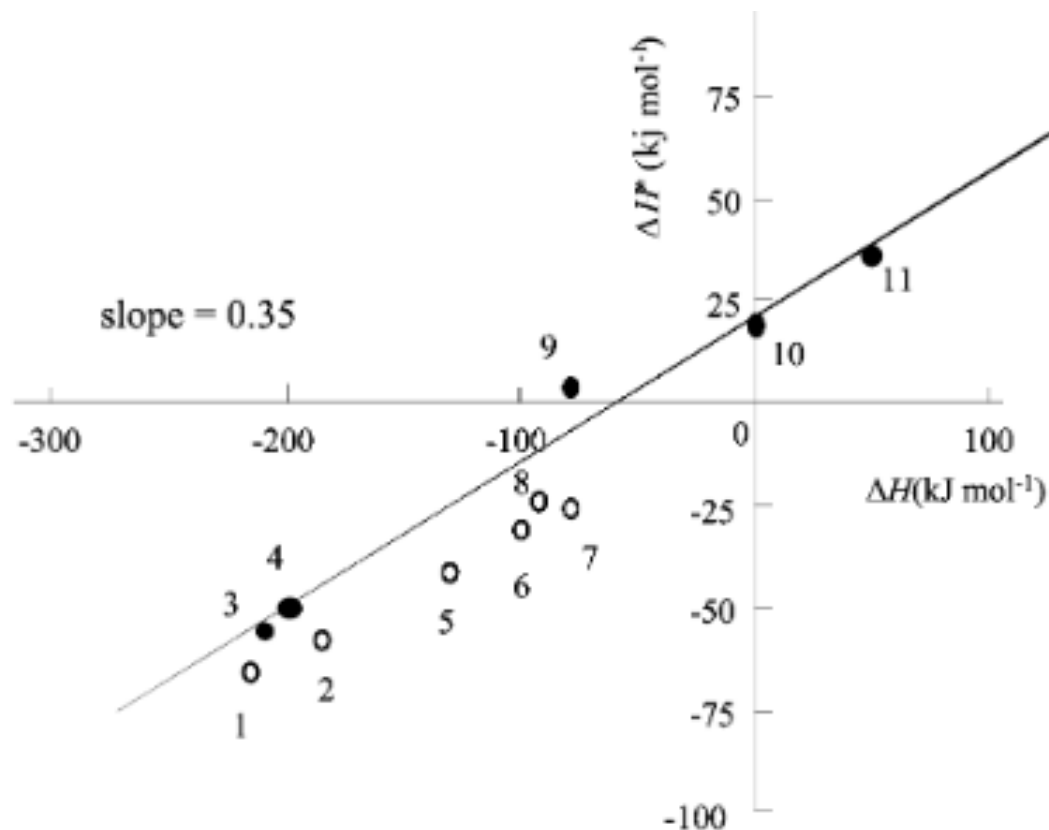- Thermodynamic stability does not explain gas–phase α–effects



**FIGURE 3.** Plots of the G2(+) overall activation energy vs reaction energy for the reaction of EtCl. Filled circles for normal nucleophiles (3, $HO^-$: 4, $CH_3O^-$: 9, $HS^-$: 10, $Cl^-$: 11, $Br^-$) and open circles for α-nucleophiles (1, $NH_2O^-$: 2, $HOO^-$: 5, $FO^-$: 6, $ClO^-$: 7, $HSO^-$: 8, $BrO^-$).

# Transition State Tightness

TABLE 1. Bond Lengths and Bond Orders of the Nu−C and C−Cl Bonds at the $S_N2$ TS Structures, $[Nu-Et-Cl]^{-\ddagger}$ (Nu = HO, HS, $CH_3O$, Cl, Br, HOO, HSO, FO, ClO, BrO, and $NH_2O$)[a]

| $Nu^-$ | $r_{Nu-C}$ | $r_{C-Cl}$ | $n^{\ddagger}_{Nu-C}$ | $n^{\ddagger}_{C-Cl}$ | $n^{\ddagger}_{Nu-C} + n^{\ddagger}_{C-Cl}$ | $D_{Y-O-C\alpha-C\beta}$[b] |
|---|---|---|---|---|---|---|
| $HO^-$ | 2.201 | 2.155 | 0.279 | 0.547 | 0.826 | |
| $HS^-$ | 2.540 | 2.264 | 0.304 | 0.456 | 0.760 | |
| $CH_3O^-$ | 2.184 | 2.119 | 0.283 | 0.581 | 0.864 | |
| $Cl^-$ | 2.354 | 2.381 | 0.393 | 0.375 | 0.768 | |
| $Br^-$ | 2.456 | 2.404 | 0.440 | 0.361 | 0.802 | |
| $HOO^-$ | 2.163 | 2.115 | 0.296 | 0.585 | 0.881 | 173.8 |
| $HSO^-$ | 2.091 | 2.196 | 0.342 | 0.511 | 0.853 | 162.6 |
| $FO^-$ | 2.099 | 2.163 | 0.328 | 0.540 | 0.868 | 180.0 |
| $ClO^-$ | 2.082 | 2.191 | 0.347 | 0.515 | 0.862 | 180.0 |
| $BrO^-$ | 2.063 | 2.209 | 0.357 | 0.500 | 0.857 | 180.0 |
| $NH_2O^-$ | 2.191 | 2.101 | 0.284 | 0.598 | 0.883 | 180.0 |

$1-n^{\ddagger}_{C-Cl} > n^{\ddagger}_{Nuc-C}$ in all cases: denotes bond breaking is ahead of bond forming in TS

1. Later Transition States have larger energy barriers
2. There is no difference between α-nucleophiles and "normal" nucleophiles. Therefore, transition state tightness cannot predict magnitude of α-effect
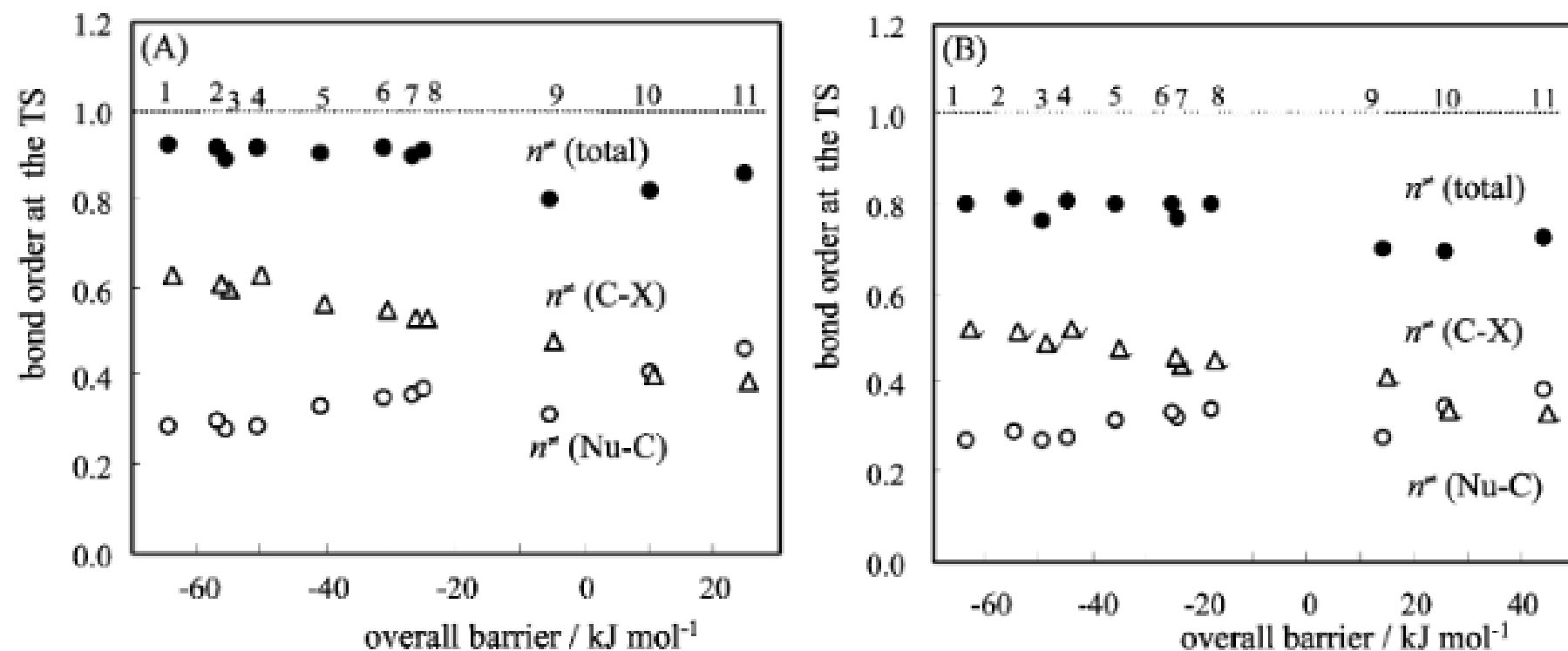


**FIGURE 4.** Variations of TS bond order with overall reaction barrier for (A) MeF and (B) i-PrCl. 1, $NH_2O^-$: 2, $HOO^-$: 3, $HO^-$: 4, $MeO^-$: 5, $FO^-$: 6, $ClO^-$: 7, $HSO^-$: 8, $BrO^-$: 9, $HS^-$: 10, $Cl^-$: 11, $Br^-$.

# Polarizability and Deformation Energy

- Polarizability:
  - Hard α-atoms result in a larger α-effect
  - No immediate conclusion
- Deformation Energy:
  - α-nucleophiles have enhanced rates because of their smaller deformation energy

*Is it possible that harder α-atoms perturb the nucleophilic orbital more than soft α-atoms?*

# Experimental Evidence: Gas Phase $S_N2$ Reactions

| reaction ($X^-$ + M) | thermodynamic data[a] | | kinetic data[b] | branching fraction (Eff)[c] | | | $\alpha$-effect |
|---|---|---|---|---|---|---|---|
| | PA ($X^-$) | $\Delta H_{rxn}$ | $k_{expt}$ ($\times 10^{-10}$) | $S_N2$ | PT | assoc | $Eff_{HOO^-}/Eff_{X^-}$ |
| $HO^- + CH_3F$ | 1633 | −91 | $0.120 \pm 0.021$ | 100 (0.0042) | – | – | 0.62 |
| $CH_3O^- + CH_3F$ | 1598 ± 2 | −70 | $0.017 \pm 0.001$ | 100 (0.0007) | – | – | 3.7 |
| $C_2H_5O^- + CH_3F$ | 1585 ± 3 | −60 | <0.001 | <0.00005 | – | – | >50 |
| $HOO^- + CH_3F$ | 1575 ± 4 | −65 | $0.060 \pm 0.002$ | 100 (0.0026) | – | – | |
| | | | | | | | |
| $HO^- + CH_3OC_6H_5$ | 1633 | −162 | $13.1 \pm 0.2$ | 28 (0.13) | 51 | 20 | 0.69 |
| $CH_3O^- + CH_3OC_6H_5$ | 1598 ± 2 | −141 | $2.74 \pm 0.01$ | 32 (0.04) | – | 68 | 2.3 |
| $C_2H_5O^- + CH_3OC_6H_5$ | 1585 ± 3 | −131 | $1.38 \pm 0.10$ | 10 (0.01) | – | 90 | 9.0 |
| $HOO^- + CH_3OC_6H_5$ | 1575 ± 4 | −135 | $3.49 \pm 0.05$ | 54 (0.09) | – | 46 | |
| $i\text{-}C_3H_7O^- + CH_3OC_6H_5$ | 1576 ± 3 | −121 | – | – | – | 100 | |
| | | | | | | | |
| $HO^- + CH_3OC_6H_4F$ | 1633 | −174 | $23.0 \pm 0.6$ | – | 100 | – | |
| $CH_3O^- + CH_3OC_6H_4F$ | 1598 ± 2 | −153 | $10.7 \pm 0.4$ | 32 (0.10) | 10 | 58 | 2.3 |
| $C_2H_5O^- + CH_3OC_6H_4F$ | 1585 ± 3 | −143 | $6.88 \pm 0.21$ | 9 (0.02) | – | 91 | 12 |
| $HOO^- + CH_3OC_6H_4F$ | 1575 ± 4 | −147 | $10.4 \pm 0.5$ | 70 (0.23) | – | 30 | |
| $i\text{-}C_3H_7O^- + CH_3OC_6H_4F$ | 1576 ± 3 | −133 | – | – | – | 100 | |

Bierbaum, *et al.* JACS 2011, 113, 13897

# Experimental Evidence

Relative rate enhancement of peroxide relative to nucleophiles with greater proton affinities provides evidence for an α-effect in the gas phase.
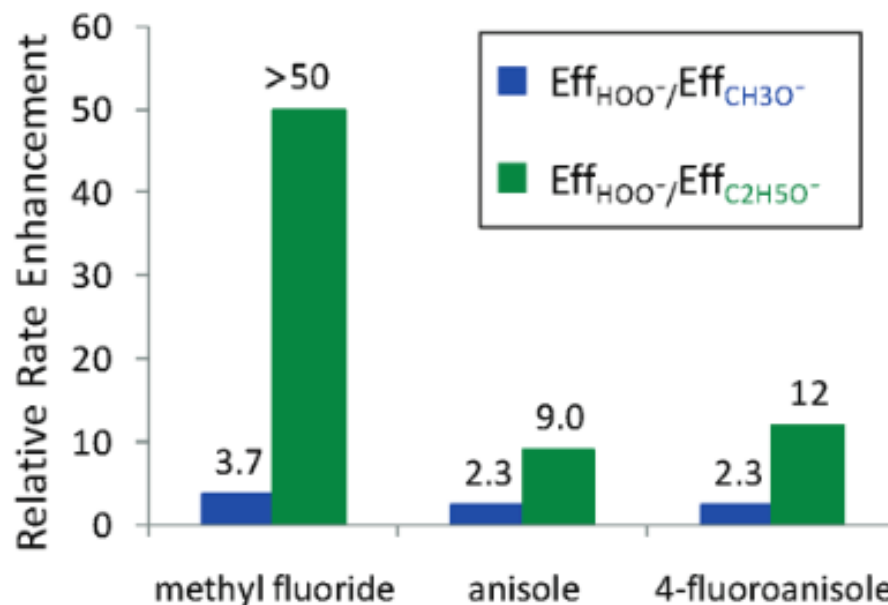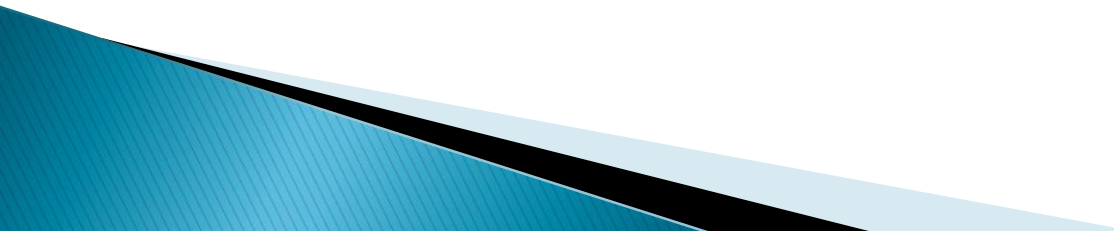


**Figure 1.** Magnitude of the α-effect for $HOO^-$ ($PA = 1575$ kJ mol$^{-1}$) relative to $CH_3O^-$ ($PA = 1598$ kJ mol$^{-1}$) and $C_2H_5O^-$ ($PA = 1585$ kJ mol$^{-1}$).

# Conclusions

▸ Although the α-effect is heavily modulated by solvent, theoretical and experimental results that the α-effect is an intrinsic property and α-nucleophiles.

▸ The physical origin of the α-effect is related to similarities in the electronic structure of the products and the transition state. However, the precise origin of these similarities is still inconclusive.
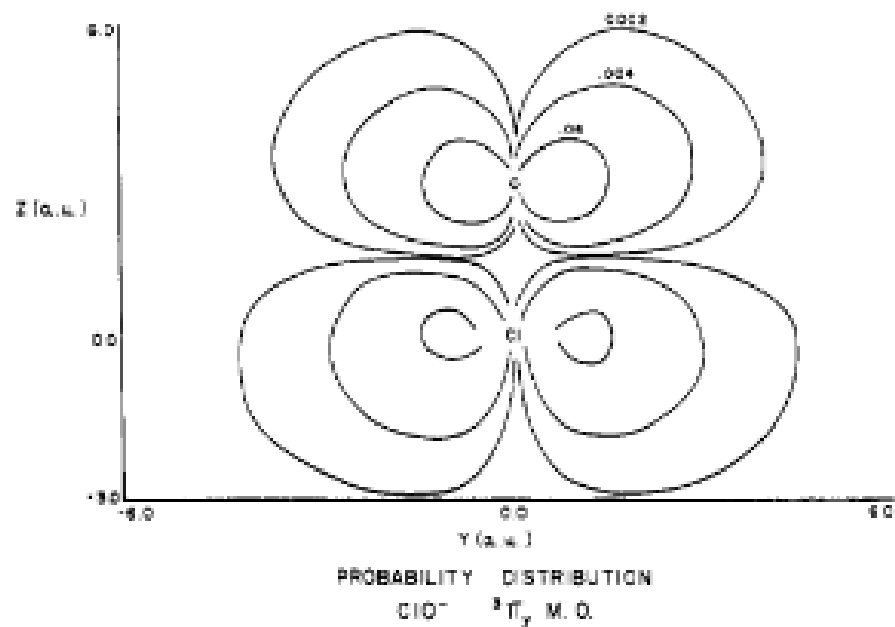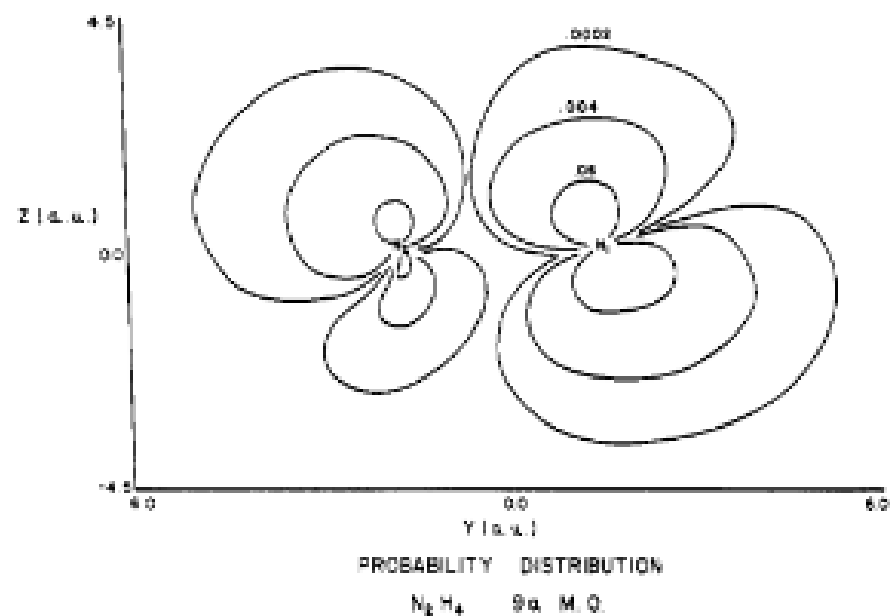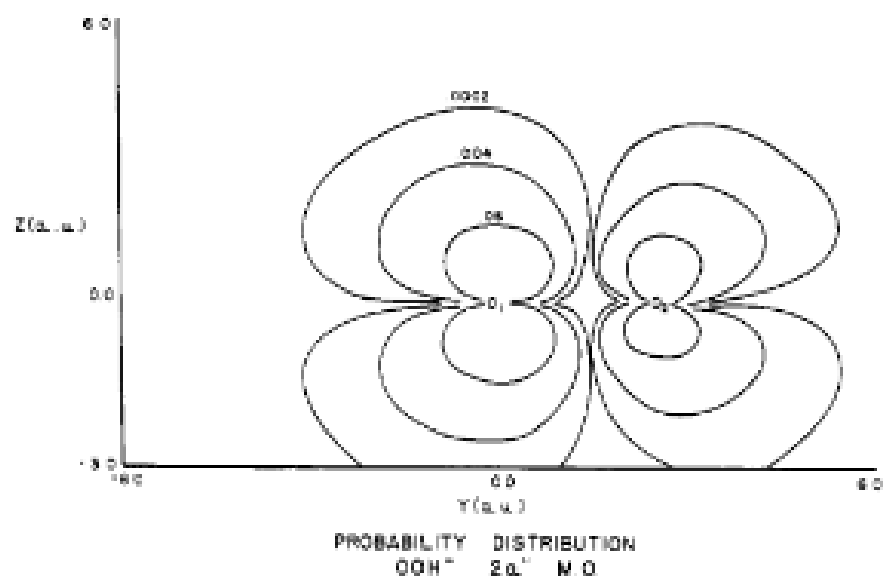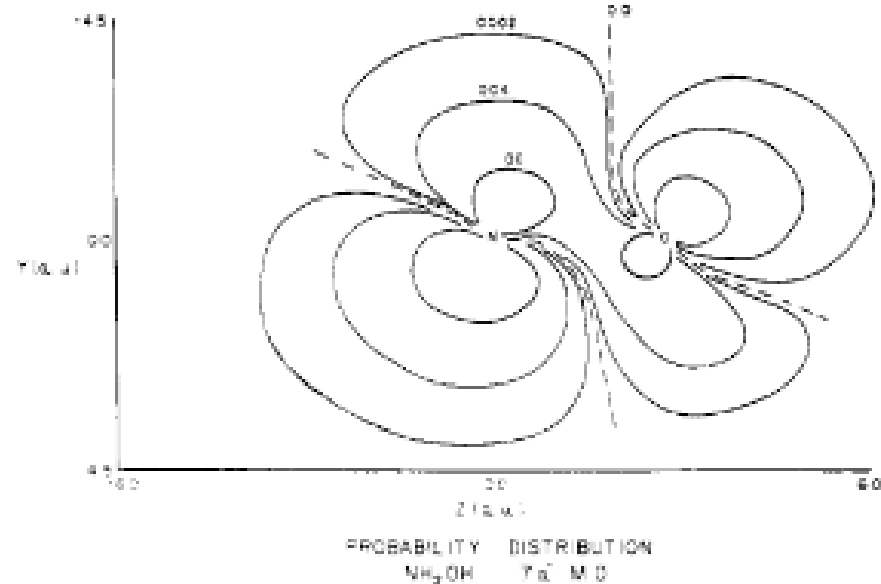
Figure 1.

PROBABILITY DISTRIBUTION
ClO$^-$  $^3\Pi_y$  M.O.



Figure 2.

PROBABILITY DISTRIBUTION
OOH$^-$  2a''  M.O.



Figure 3.

PROBABILITY DISTRIBUTION
N$_2$H$_4$  9a  M.O.



Figure 4.

PROBABILITY DISTRIBUTION
NH$_2$OH  7a  M.O.